Section I

Notices of Development of Proposed Rules and Negotiated Rulemaking

DEPARTMENT OF EDUCATION

State Board of Education

RULE NO.: RULE TITLE:

6A-3.0291 Specifications for New School Buses PURPOSE AND EFFECT: The purpose of the rule development is to implement Florida's statutory requirements as amended for safe and efficient transportation of Florida public school students by county school districts and charter schools. The effect will be a rule which incorporates specifications for new school buses to ensure the safety of students.

SUBJECT AREA TO BE ADDRESSED: Specifications for new school buses.

SPECIFIC AUTHORITY: 1006.25(2) FS. LAW IMPLEMENTED: 1006.25(2) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

Requests for the rule development workshop should be addressed to: Lynn Abbott, Agency Clerk, Department of Education, 325 West Gaines Street, Room 1514, Tallahassee, Florida 32399-0400.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT, IF AVAILABLE, IS: Charlie Hood, Director, Office of School Transportation, Department of Education, 325 West Gaines Street, Tallahassee, Florida, (850)245-9924

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF TRANSPORTATION

RULE CHAPTER NO.: RULE CHAPTER TITLE: 14-22 Contractors – Highway –

Qualification to Bid

RULE NOS.: RULE TITLES: 14-22.003 Rating the Applicant

14-22.015 Forms

PURPOSE AND EFFECT: Rule Chapter 14-22, F.A.C., is being amended to add a new section #(4) to the requirements required to be included with the Application for Qualification for Emergency Debris Removal, Form 375-020-37. The revised version of the form is incorporated by reference. The new provision being added to the form itself already is included in the Contracts Administration website as a requirement for the application.

SUBJECT AREA TO BE ADDRESSED: Rule Chapter 14-22, F.A.C., is being amended to incorporate a revised version of the Application for Qualification for Emergency Debris Removal form to include a requirement for written documentation regarding prior qualification. The requirement already is included in the instructions on the Contracts Administration website.

SPECIFIC AUTHORITY: 334.044(2), 337.14(1) FS.

LAW IMPLEMENTED: 120.569, 337.11(3)(b), 337.11(5)(a) 1.- 3., 337.11 (7)(b)1., 337.11(7)(c), 337.14, 337.16, 337.165, 337.167 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT IS: James C. Myers, Clerk of Agency Proceedings, Florida Department of Transportation, Office of the General Counsel, 605 Suwannee Street, Mail Station 58, Tallahassee, Florida 32399-0458

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

- 14-22.003 Rating the Applicant.
- (1) through (2)(b)3. No change.
- (3) Classification of Work.
- (a) through (d) No change.
- (e) For the Work Class of Emergency Debris Removal, the contractor(s) shall complete the Application for Qualification for Emergency Debris Removal, DOT Form 375-020-37, Rev. <u>070+</u>/06, incorporated herein by reference. The Application for Qualification shall be accompanied by a Reviewed Financial Statement prepared in accordance with GAAP.

Specific Authority 120.53(1)(a), 334.044(2), 337.14(1) FS. Law Implemented 337.11(3)(b), 337.11(5)(a) 1.-3., 337.11 (7)(b)1., 337.11(7)(c), 337.14, 337.167 FS. History–Formerly Chapter 14-8, Amended 7-1-67, 8-20-68, 5-9-70, 1-6-72, 9-24-75, Formerly 14-22.01(4), Amended 3-23-79, 11-10-82, 8-25-83, 1-9-84, 10-1-85, Formerly 14-22.03, Amended 12-20-89, 4-22-92, 1-4-94, 7-1-95, 7-2-95, 7-8-01, 6-27-04, 5-15-06,

14-22.015 Forms.

The following forms are incorporated by reference as part of the rules of the Department and are available from the Contracts Administration Office, 605 Suwannee Street, Mail Station 55, Room 1-B, Tallahassee, Florida 32399-0455:

| Form Number | Date | Title |
|-------------|-------|-----------------------------------|
| 375-020-32 | 01/06 | Application for Qualification |
| 375-020-22 | 05/05 | Certification of Current Capacity |
| 700-010-25 | 09/05 | Contractor Past Performance |
| | | Report |

375-020-37 <u>07</u>01/06 Application for Qualification for Emergency Debris Removal

Specific Authority 334.044(2), 337.14(1) FS. Law Implemented 120.53(1)(b), 337.14, 337.167 FS. History—New 11-10-82, Amended 8-25-83, Formerly 14-22.15, Amended 12-20-89, 1-4-94, 7-1-95, 7-2-95, 7-8-01, 6-27-04, 12-18-05, 5-15-06, ________.

BOARD OF TRUSTEES OF THE INTERNAL IMPROVEMENT TRUST FUND

Notices for the Board of Trustees of the Internal Improvement Trust Fund between December 28, 2001 and June 30, 2006, go to http://www.dep.state.fl.us/ under the link or button titled "Official Notices."

DEPARTMENT OF CORRECTIONS

RULE NO.: RULE TITLE:

33-603.101 Use of Committed Name

PURPOSE AND EFFECT: The purpose and effect of the proposed rule is to clarify how an inmate's committed name is established.

SUBJECT AREA TO BE ADDRESSED: Committed Name. SPECIFIC AUTHORITY: 944.09 FS.

LAW IMPLEMENTED: 944.09 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Dorothy M. Ridgway, 2601 Blair Stone Road, Tallahassee, Florida 32399-2500

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

33-603.101 Use of Committed Name.

(1)(a) In order to avoid conflicts in mailing and visiting privileges, as well as to assist inmates in making bank and canteen transactions and ensure timely delivery of legal documents, and to provide staff with a consistent means of inmate identification for security and daily institutional operation purposes, each inmate shall be recognized by the department under the name on the initial commitment under which the inmate was received. The committed name shall be obtained from the information or indictment page of the commitment package, not from the uniform commitment to custody cover sheet. For multiple cases imposed on separate dates, the committed name is the name on the information page of the commitment of the earliest imposed case. For multiple cases imposed on the same date, commitments are arranged according to service of the sentences or sequential order as directed by the sentencing court and the committed name is the name on the information page of the first imposed case as so designated. For multiple cases imposed on the same date where the order of sentencing cannot be determined, the cases are arranged numerically and the committed name is the name on the information page of the commitment with the lowest case number. For multiple cases imposed on the same date, the committed name is the name listed on the earliest ehronological case. This name shall be the inmate's official identification throughout the continuous incarceration of the inmate on that sentence or combined sentences and must be included on any official document sent or received by the inmate except as provided in subsection (3) below.

- (b) through (d) No change.
- (2) through (4) No change.

Specific Authority 20.315, 944.09 FS. Law Implemented 944.09 FS. History–New 9-30-93, Formerly 33-6.012, Amended 4-29-02, 5-20-03, 7-7-05,

AGENCY FOR HEALTH CARE ADMINISTRATION

Medicaid

RULE NO.: RULE TITLE:

59G-4.280 Rural Health Clinic Services

PURPOSE AND EFFECT: The purpose of this rule amendment is to incorporate by reference the revised Florida Medicaid Rural Health Clinic Services Coverage and Limitations Handbook, October 2006. The revised handbook contains updated policies for the services that are provided by Rural Health Clinics. The effect will be to incorporate by reference in the rule the Florida Medicaid Rural Health Clinic Services Coverage and Limitations Handbook, October 2006. SUBJECT AREA TO BE ADDRESSED: Rural Health Clinic Services

SPECIFIC AUTHORITY: 409.919 FS.

LAW IMPLEMENTED: 409.905, 409.906, 409.908, 409.9081 FS

IF REQUESTED IN WRITING WITHIN 14 DAYS BY AN AFFECTED PERSON AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW.

DATE AND TIME: Monday, September 25, 2006, 11:00 a.m. PLACE: Agency for Health Care Administration, 2727 Mahan Drive, Building #3, Conference Room B, Tallahassee, Florida THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT IS: Glen Davis, Medicaid Services, 2727 Mahan Drive, Mail Stop 20, Tallahassee, Florida 32308-5403, (850)922-7305

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

59G-4.280 Rural Health Clinic Services.

(1) No change.

(2) All rural health clinic providers enrolled in the Medicaid program must comply with the Florida Medicaid Rural Health Clinic Services Coverage and Limitations Handbook, October 2006 October 2003, incorporated by reference, and the Florida Medicaid Provider Reimbursement Handbook, CMS-1500, incorporated by reference in Rule 59G-4.001, F.A.C. Both handbooks are available from the Medicaid fiscal agent's website at http://floridamedicaid.acs-inc.com agent. Click on Provider Support, and then on Handbooks. Paper copies of the handbooks may be obtained by calling Provider Inquiry at (800)377-8216.

Specific Authority 409.919 FS. Law Implemented 409.905, <u>409.906</u>, 409.908, 409.9081 FS. History–New 4-14-80, Amended 12-28-80, Formerly 10C-7.51, Amended 8-11-91, 1-19-93, Formerly 10C-7.051, Amended 6-29-94, 6-10-96, 6-24-98, 12-4-00, 5-31-04.

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

RULE NO.: RULE TITLE:

61-5.007 Disciplinary Guidelines for

Unlicensed Activity

PURPOSE AND EFFECT: The purpose and effect of the proposed rule will be to set disciplinary guidelines for violations of the unlicensed activity statutes articulated by Chapter 455, F.S., the professional practice acts administered by DBPR.

SUBJECT AREA TO BE ADDRESSED: The subject area to be addressed in this rule is the standards for imposing discipline for violations of the unlicensed activity statutes articulated by Chapter 455, F.S., and the professional practice acts administered by DBPR.

SPECIFIC AUTHORITY: 455.2273 FS.

LAW IMPLEMENTED: 455.2273, 455.228 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW. (IF NOT REQUESTED, THIS HEARING WILL NOT BE HELD.)

DATE AND TIME: Tuesday, September 26, 2006, 9:00 a.m. – 11:00 a.m.

PLACE: Department of Business and Professional Regulation, Northwood Centre Board Room, 1940 North Monroe Street, Tallahassee, Florida 32399

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND TO OBTAIN A COPY OF THE PRELIMINARY DRAFT IS: Jennifer A. Tschetter, Assistant General Counsel, Department of Business and Professional Regulation, 1940 North Monroe Street, Tallahassee, Florida 32399, (850)488-0062

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Jennifer A. Tschetter

at (850)488-0062. If you are hearing or speech impaired, please contact the Commission office using the Florida Dual Party Relay System which can be reached at 1(800)955-8700 (Voice) or 1(800)955-8771 (TTD).

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

RULE NO.: RULE TITLE:

61-20.002 Expiration and Renewal of

Manager's License

PURPOSE AND EFFECT: The Council proposes to review the rule to remove any unnecessary or obsolete language and clarify existing language.

SUBJECT AREA TO BE ADDRESSED: Inactive Status and renewal of manager's license.

SPECIFIC AUTHORITY: 455.271, 468.433 FS.

LAW IMPLEMENTED: 455.271, 468.433, 468.435, 468.436 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Anthony Spivey, Executive Director, Regulatory Council for Community Managers, 1940 North Monroe Street, Tallahassee, Florida 32399-0762

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

Asbestos Consultants/Asbestos Consultant Examination

RULE NO.: RULE TITLE:

61E1-1.002 Continuing Education Requirements

for Asbestos Consultants/

Contractors

PURPOSE AND EFFECT: The purpose and effect of the proposed rule amendment will be to create consistency between the continuing education monitoring requirements of the Asbestos Licensing Unit rules and the requirements of Section 455.2177(1), Florida Statutes.

SUBJECT AREA TO BE ADDRESSED: The subject area to be addressed in this rule is the regulatory scope of the Asbestos Licensing Unit over the monitoring of the continuing education requirements for Asbestos Consultants and Asbestos Contractors.

SPECIFIC AUTHORITY: 469.011 FS.

LAW IMPLEMENTED: 469.004(3), 469.012(4) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW. (IF NOT REQUESTED, THIS HEARING WILL NOT BE HELD.)

DATE AND TIME: Monday, September 25, 2006, 1:00 p.m. - 2:00 p.m.

PLACE: Department of Business and Professional Regulation, Northwood Centre Board Room, 1940 North Monroe Street, Tallahassee, Florida 32399

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND TO OBTAIN A COPY OF THE PRELIMINARY DRAFT IS: Jennifer A. Tschetter, Assistant General Counsel, Department of Business and Professional Regulation, 1940 North Monroe Street, Tallahassee, Florida 32399, (850)488-0062.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Jennifer A. Tschetter at (850)488-0062. If you are hearing or speech impaired, please contact the Commission office using the Florida Dual Party Relay System which can be reached at 1(800)955-8700 (Voice) or 1(800)955-8771 (TTD).

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

Board of Veterinary Medicine

RULE NO.: RULE TITLE:

61G18-17.001 Exemptions and Exceptions

PURPOSE AND EFFECT: The purpose and effect of the rule is to repeal language that is deemed to be no longer necessary. SUBJECT AREA TO BE ADDRESSED: Exemptions and Exceptions.

SPECIFIC AUTHORITY: 474.203, 474.206 FS.

LAW IMPLEMENTED: 474.202(9), 474.203(5) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Juanita Chastain, Executive Director, Board of Veterinary Medicine, 1940 North Monroe Street, Tallahassee, Florida 32399-0783 THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

Florida State Boxing Commission

RULE NO.: RULE TITLE:

61K1-1.001 Purpose, Applicability and Scope of

Rules

PURPOSE AND EFFECT: The purpose and effect of the proposed rule will be to bring amateur boxing and kickboxing within the regulatory scope of the Florida State Boxing Commission as required by Section 548.006, Florida Statutes. SUBJECT AREA TO BE ADDRESSED: The subject area to be addressed in this rule is the regulatory scope of the Florida State Boxing Commission over amateur boxing and kickboxing.

SPECIFIC AUTHORITY: 548.003(8), 548.006(4) FS.

LAW IMPLEMENTED: 548.004, 548.006, 548.007, 548.061 FS

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW. (IF NOT REQUESTED, THIS HEARING WILL NOT BE HELD.)

DATE AND TIME: Monday, September 25, 2006, 9:00 a.m. – 11:00 a.m.

PLACE: Department of Business and Professional Regulation, Northwood Centre Board Room, 1940 North Monroe Street, Tallahassee, Florida 32399

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND TO OBTAIN A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Jennifer A. Tschetter, Assistant General Counsel, Department of Business and Professional Regulation, 1940 North Monroe Street, Tallahassee, Florida 32399, (850)488-0062

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Jennifer A. Tschetter at (850)488-0062. If you are hearing or speech impaired, please contact the Commission office using the Florida Dual Party Relay System which can be reached at 1(800)955-8700 (Voice) or 1(800)955-8771 (TTD).

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

Notices for the Department of Environmental Protection between December 28, 2001 and June 30, 2006, go to http://www.dep.state.fl.us/ under the link or button titled "Official Notices."

DEPARTMENT OF ENVIRONMENTAL PROTECTION

| RULE CHAPTER NO.: | RULE CHAPTER TITLE: |
|-------------------|-------------------------------------|
| 62-531 | Water Well Contractor Licensing |
| | Requirements |
| RULE NOS.: | RULE TITLES: |
| 62-531.300 | Application Requirements for Water |
| | Well Contractors |
| 62-531.330 | Water Well Contractor License |
| | Renewal |
| 62-531.340 | Water Well Contractor Fees |
| 62-531.350 | Water Well Contractor Examinations |
| 62-531.450 | Unlawful Acts, Grounds for |
| | Disciplinary Actions, and Penalties |

PURPOSE AND EFFECT: To make Chapter 62-531, F.A.C., consistent with recent amendments to Chapter 373, F.S., address comments from the Joint Administrative Procedures Committee, and add rule changes to strengthen the licensing requirements for and disciplinary actions against water well contractors.

SUBJECT AREA TO BE ADDRESSED: Licensing requirements, agency preparation of the examination, exemption for contractors or spouse on active duty with the Florida National Guard or U.S Armed Services reserves, increase administrative fines not to exceed \$5000, allow a licensed well water contractor to facilitate the performance of additional work by an appropriately licensed contractor, allow administrative fees related to continuing education, adopt by reference the Manual for Coursework and Continuing Education for Water Well Contractors, modify language for contractors who are employees of a governmental agency engaged in water well contracting, and require a licensed water well contractor to provide the water management districts with a change of address.

SPECIFIC AUTHORITY: 373.337 FS.

LAW IMPLEMENTED: 373.303, 373.323, 373.324, 373.333, 373.336 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: David James, Department of Environmental Protection, Ground Water Regulatory Section, MS 3580, 2600 Blair Stone Road, Tallahassee, Florida 32399-2400; telephone (850)245-8648; e-mail david.james@dep.state.fl.us

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

Division of Recreation and Parks

RULE NO.: RULE TITLE:

62D-2.014 Activities and Recreation

PURPOSE AND EFFECT: To amend the rule as required by HB 1029 (2006) to "allow the possession of weapons [in State Parks] in compliance with all applicable Florida Statutes." The bill provides that subsection 62D-2.014(10), F.A.C., "shall be amended to indicate that such weapons shall be at all times in the possession of a responsible party or properly secured within or to a vehicle or temporary housing, which shall include motor homes, travel trailers, recreational vehicles, campers, tents, or other enclosed structures while in state parks." HB 1029 was designated Ch. 2006-103, Laws of Florida, and is effective October 1, 2006.

SUBJECT AREA TO BE ADDRESSED: Possession of weapons in state parks.

SPECIFIC AUTHORITY: 258.007(2) FS.

LAW IMPLEMENTED: Ch. 2006-103, Laws of Fla.

A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: September 25, 2006, 7:00 p.m.

PLACE: Department of Environmental Protection, Marjory Stoneman Douglas Building, Conference Room A (First Floor), 3900 Commonwealth Blvd., Tallahassee Florida

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 2 days before the workshop/meeting by contacting: Matt Mitchell, Assistant Bureau Chief, Division of Recreation and Parks, MS 535, 3900 Commonwealth Blvd., Tallahassee, FL 32399-3000; (850)245-3076; matthew.m. mitchell@dep.state.fl.us If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Robert Wilhelm, Bureau Chief, Division of Recreation and Parks, MS 535, 3900 Commonwealth Blvd., Tallahassee, FL 32399-3000; (850)245-3076; robert.wilhelm@dep.state.fl.us

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE:

64B8-1.001 Probable Cause Determinations
PURPOSE AND EFFECT: The Board proposes the development of an amendment to the rule to address a

physician assistant member of the probable cause panel.

SUBJECT AREA TO BE ADDRESSED: Composition of the probable cause panel.

SPECIFIC AUTHORITY: 120.53, 456.073(4) FS.

LAW IMPLEMENTED: 456.073(4), 458.331(10) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

64B8-1.001 Probable Cause Determinations.

- (1) through (2) No change.
- (3) In instances when the probable cause panel is considering cases involving a physician assistant, the panel shall also have a physician assistant participating on the probable cause panel. The physician assistant is only permitted to hear cases involving discipline of physician assistants. If the physician assistant is not able to attend the meeting of the probable cause panel, the panel may consider the case and vote on the matter in the absence of the physician assistant.

(4)(3) No change.

(5)(4) No change.

Specific Authority 120.53, 456.073(4) FS. Law Implemented 456.073(4), 458.331(10) FS. History–New 12-5-79, Amended 11-26-80, 5-27-81, Formerly 21M-18.06, Amended 12-4-86, 7-4-88, 1-1-92, Formerly 21M-18.006, 61F6-18.006, 59R-1.006, Amended 3-29-01, _______.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE:

64B8-1.007 List of Approved Forms;

Incorporation

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to incorporate revised forms into the current forms rule.

SUBJECT AREA TO BE ADDRESSED: Revised forms.

SPECIFIC AUTHORITY: 120.55(1)(a), (4), 456.013, 456.036(5), 456.048(1), 458.309, 458.311, 458.3124(6), 458.313(4), 458.3145, 458.315(2), 458.320(8), 458.321(2), 458.347(13), 458.3475, 458.351(6) FS.

LAW IMPLEMENTED: 456.013, 456.035, 4456.036, 456.048, 456.073, 458.309, 458.311, 458.3124, 458.313, 458.3145, 458.315, 458.316, 458.317, 458.319, 458.320, 458.321, 458.345, 458.347, 458.3475, 458.348, 458.351, 465.0276 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE: 64B8-2.001 Definitions

PURPOSE AND EFFECT: The Board proposes the definition of the term "record" with regard to the implementation of Section 456.50(2), Florida Statutes.

SUBJECT AREA TO BE ADDRESSED: Definition of the term "record."

SPECIFIC AUTHORITY: 458.309, 458.315(1), 458.317(1)(c), 456.50(2), 458.319(1), 766.314(4) FS.

LAW IMPLEMENTED: 456.072(2)(g), 456.50(2), 458.303, 458.311, 458.313, 458.315(1), 458.317(1)(c), 458.331(1)(u), 458.3485, 766.314(4) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

64B8-2.001 Definitions.

(1) through (11) No change.

(12) The term "record" as it appears in Section 456.50(2), F.S., shall include a certified copy of the official tran of the civil or administrative proceeding resulting in a finding of medical malpractice, all evidence admitted, those matters officially recognized by the civil or administrative tribunal, and the final order or judgment reported or issued by the tribunal.

Specific Authority 458.309, 458.315(1), 458.317(1)(c), <u>456.50(2)</u>, 458.319(1), 766.314(4) FS. Law Implemented 456.072(2)(g), <u>456.50(2)</u>, 458.303, 458.311, 458.313, 458.315(1), 458.317(1)(c), 458.331(1)(u), 458.3485, 766.314(4) FS. History—New 11-10-82, Amended 12-4-85, Formerly 21M-29.01, Amended 12-4-86, 11-15-88, 3-13-89, 1-1-92, 9-24-92, 2-21-93, Formerly 21M-29.001, Amended 4-14-94, Formerly 61F6-29.001, 59R-2.001, Amended 4-7-99, 10-2-01, 11-10-02,

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE: 64B8-4.009 Applications

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to address recent legislative changes with regard to continuing education for initial licensure.

SUBJECT AREA TO BE ADDRESSED: Continuing education for initial licensure.

SPECIFIC AUTHORITY: 120.53, 456.031, 456.033, 458.309, 458.311, 458.3137, 458.319 FS.

LAW IMPLEMENTED: 120.53, 456.013(7), 456.031, 456.033, 458.311, 458.3124, 458.313, 458.3145, 458.315, 458.316, 458.3165, 458.317, 458.319 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NOS.: RULE TITLES:

64B8-8.001 Disciplinary Guidelines 64B8-8.019 Submission of Malpractice Record

PURPOSE AND EFFECT: The Board proposes the amendment to Rule 64B8-8.001, F.A.C., to address the violation and penalty for failing to submit the medical malpractice record as pursuant to Section 456.50(2), F.S. The Board also proposes the new Rule 64B8-8.019, F.A.C., to set forth the criteria for submission of the medical malpractice record.

SUBJECT AREA TO BE ADDRESSED: Setting forth a disciplinary guideline for failing to submit the medical malpractice record, and setting forth the criteria for submission of the medical malpractice record.

SPECIFIC AUTHORITY: 456.0375(4)(c), 456.50(2), 456.0575, 456.079, 458.309, 458.331(5) FS.

LAW IMPLEMENTED: 456.0375(4)(c), 456.50(2), 456.0575, 456.072, 456.079, 458.331(5) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

64B8-8.001 Disciplinary Guidelines.

- (1) No change.
- (2) Violations and Range of Penalties. In imposing discipline upon applicants and licensees, in proceedings pursuant to Sections 120.57(1) and (2), F.S., the Board shall act in accordance with the following disciplinary guidelines and shall impose a penalty within the range corresponding to the violations set forth below. The verbal identification of offenses are deive only; the full language of each statutory provision cited must be consulted in order to determine the conduct included.

RECOMMENDED RANGE OF PENALTY

VIOLATION FIRST OFFENSE (a) through (w) No change. (x)1. through 2. No change. From a \$1,000.00 3. Failure to comply with any provision of fine, letter of Rule 64B8-8.019, concern, F.A.C. demonstration of compliance with the rule or denial to a \$5,000.00 fine, a reprimand. completion of a laws and rules course, a term of probation, demonstration of compliance with

From a \$7,500.00 fine, a reprimand, a term of probation, completion of a laws and rules course, demonstration of compliance with the rule or denial to a \$10,000.00

fine and revocation.

SECOND OFFENSE

(y) through (tt) No change.

(3) through (7) No change.

Specific Authority 456.0375(4)(c), 456.50(2), 456.0575, 456.079, 458.309, 458.331(5) FS. Law Implemented 456.0375(4)(c), 456.50(2), 456.0575, 456.072, 456.079, 458.331(5) FS. History—New 12-5-79, Formerly 21M-20.01, Amended 111-87, 6-20-90, Formerly 21M-20.001, Amended 11-4-93, Formerly 61F6-20.001, Amended 6-24-96, 12-22-96, Formerly 59R-8.001, Amended 5-14-98, 12-28-99, 1-31-01, 7-10-01, 6-4-02, 9-10-02, 12-11-02, 8-20-03, 6-7-04, 8-17-04, 1-4-06, 8-13-06, 8-29-06,

the rule or denial.

64B8-8.019 Submission of Malpractice Record.

- (1) All physicians licensed pursuant to Chapter 458, F.S., shall provide to the Board of Medicine a copy of the record of any finding of medical malpractice resulting from a civil or administrative proceeding, entered against the licensee in any jurisdiction on or after November 2, 2004, within 60 days of entry of the final judgment or order. The record shall be sent to the Board of Medicine, 4052 Bald Cypress Way, Bin C03, Tallahassee, Florida 32399-3253.
- (2) The record shall include a certified copy of the official tran of the civil or administrative proceeding resulting in a finding of medical malpractice, all evidence admitted, those matters officially recognized by the civil or administrative tribunal, and the final order or judgment reported or issued by the tribunal.
- (3) The record shall be provided to the Board in a read only CD ROM disc in portable document format (.pdf) or tagged image file format (.tif).

<u>Specific Authority 456.50(2) FS. Law Implemented 456.50(2) FS. History–New</u>.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE:

64B8-9.0075 Standards of Practice in Certain

Office Settings

PURPOSE AND EFFECT: The Board proposes the development of a rule amendment to clarify the authority for those who practice in clinics registered under Chapter 400, Part XIII, F.S.

SUBJECT AREA TO BE ADDRESSED: Clarification for those who practice in clinics registered under Chapter 400, Part XIII, F.S.

SPECIFIC AUTHORITY: 456.0375, 458.309, 458.331(1)(v) FS.

LAW IMPLEMENTED: 456.0375, 458.331(1) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

64B8-9.0075 Standards of Practice in Certain Office Settings.

(1) through (2) No change.

(3) Licensed physicians and physician assistants in a clinic registered under <u>Chapter 400</u>, <u>Part XIII</u>, <u>F.S.</u> <u>Section 456.0375</u>, <u>F.S.</u>, may reasonably rely upon a Florida licensed medical director to ensure compliance with the responsibilities set <u>forth</u> out in <u>Section 400.991</u>, <u>F.S.</u>, <u>subsection (2)</u>, only if the medical director has specifically agreed to accept the responsibilities set forth in Section 456.0375(3)(b), F.S.

Specific Authority 456.0375, 458.309, 458.331(1)(v) FS. Law Implemented 456.0375, 458.331(1) FS. History–New 11-13-00, Amended 6-4-02,

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE:

64B8-11.003 Disclosure of Licensure Status

PURPOSE AND EFFECT: The Board proposes the development of a new rule pursuant to recent legislation requiring appropriate notification to patients with regard to practitioner status.

SUBJECT AREA TO BE ADDRESSED: Disclosure of practitioner's licensure status to patients.

SPECIFIC AUTHORITY: s. 2, Chapter 2006-207, Laws of Florida.

LAW IMPLEMENTED: s 2, Chapter 2006-207, Laws of Florida.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NO.: RULE TITLE:

64B8-13.005 Continuing Education for Biennial

Renewal

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to address recent legislative changes with regard to continuing education for licensure renewal.

SUBJECT AREA TO BE ADDRESSED: Continuing education for licensure renewal.

SPECIFIC AUTHORITY: 456.013(6),(7), 456.031(2), 456.033, 458.309, 458.319 FS.

LAW IMPLEMENTED: 456.013(6),(7), 456.031(1), 456.033, 458.319(4) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NOS.: RULE TITLES:

64B8-30.003 Physician Assistant Licensure
64B8-30.005 Physician Assistant Licensure
Renewal and Reactivation

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to address statutory changes for continuing education requirements for initial licensure and licensure renewal.

SUBJECT AREA TO BE ADDRESSED: Requirements for initial and renewal of physician assistant licensure.

SPECIFIC AUTHORITY: 456.013, 456.013(1)(a), (2), 456.033(1), (6), 458.309, 458.347 FS.

LAW IMPLEMENTED: 456.013, 456.017, 456.031, 456.033, 458.036, 458.347 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Medicine

RULE NOS.: RULE TITLES:

64B8-31.003 Application for Licensure and

Licensure Requirements for Anesthesiologist Assistants 64B8-31.007 Anesthesiologist Assistant Licensure

Renewal and Reactivation

64B8-31.012 Fees Regarding Anesthesiologist

Assistants

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to clarify continuing education requirements for initial licensure, renewal and reactivation of anesthesiologist assistants and additional criteria with regard to renewal and reactivation. In addition the Board proposes the development of rule amendments to set forth a fee for reactivation and retired status.

SUBJECT AREA TO BE ADDRESSED: Educational requirements for initial licensure, renewal and reactivation of anesthesiologist assistants and additional criteria with regard to renewal and reactivation. In addition, fees for reactivation and retired status.

SPECIFIC AUTHORITY: 456.013, 456.031(1)(a), 456.033(1), 456.036(5), 458.309, 458.3075 FS.

LAW IMPLEMENTED: 456.013, 456.031, 456.033, 456.036(5), (7), 458.3075 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Larry McPherson, Jr., Executive Director, Board of Medicine/MQA, 4052 Bald Cypress Way, Bin #C03, Tallahassee, Florida 32399-3253

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Optometry

RULE NOS.: RULE TITLES: 64B13-15.003 Range of Penalties for

Administrative Violations

64B13-15.005 Designation of Administrative

Violations; Major; Minor

PURPOSE AND EFFECT: For Rule 64B13-15.003, F.A.C., the purpose is to add disciplinary guideline to implement Section 456.072(1)(gg), F.S. For Rule 64B13-15.005, F.A.C., the purpose is to add disciplinary guideline to implement Section 456.072(1)(gg), F.S.

SUBJECT AREA TO BE ADDRESSED: Range of Penalties for Administrative Violations and Designation of Administrative Violations; Major; Minor.

SPECIFIC AUTHORITY: 456.079 FS. LAW IMPLEMENTED: 456.079 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Joe Baker, Jr., Executive Director, Board of Optometry, 4052 Bald Cypress Way, Bin C07, Tallahassee, Florida 32399-3259

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Osteopathic Medicine

RULE NOS.: RULE TITLES:

64B15-6.003 Physician Assistant Licensure
64B15-6.0035 Physician Assistant Licensure
Renewal and Reactivation

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to address statutory changes for continuing education requirements for initial licensure and licensure renewal.

SUBJECT AREA TO BE ADDRESSED: Requirements for initial and renewal of physician assistant licensure.

SPECIFIC AUTHORITY: 458.347(7), 459.005, 459.022 FS. LAW IMPLEMENTED: 120.53(1)(a), 456.013, 456.031, 456.033, 459.022 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Pamela King, Executive Director, Board of Osteopathic Medicine/MQA, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF HEALTH

Board of Osteopathic Medicine

RULE NOS.: RULE TITLES:

64B15-7.003 Application for Licensure and

Licensure Requirements for Anesthesiologist Assistants

64B15-7.007 Anesthesiologist Assistant Licensure

Renewal and Reactivation

64B15-7.012 Fees Regarding Anesthesiologist

Assistants

PURPOSE AND EFFECT: The Board proposes the development of rule amendments to clarify continuing education requirements for initial licensure, renewal and reactivation of anesthesiologist assistants and additional criteria with regard to renewal and reactivation. In addition the Board proposes the development of rule amendments to set forth a fee for reactivation and retired status.

SUBJECT AREA TO BE ADDRESSED: Educational requirements for initial licensure, renewal and reactivation of anesthesiologist assistants and additional criteria with regard to renewal and reactivation. In addition, fees for reactivation and retired status.

SPECIFIC AUTHORITY: 456.013, 456.031(1)(a), 456.033(1), 456.036(5), 459.005, 459.023 FS.

LAW IMPLEMENTED: 456.013, 456.031, 456.033, 456.036(5), (7), 459.023 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Pamela King, Executive Director, Board of Osteopathic Medicine/MQA, 4052 Bald Cypress Way, Bin #C06, Tallahassee, Florida 32399-3256

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF CHILDREN AND FAMILY SERVICES

Economic Self-Sufficiency Program

RULE CHAPTER NO.: RULE CHAPTER TITLE: 65A-1 Public Assistance Programs

RULE NO.: RULE TITLE:

65A-1.400 Forms for Client Notice and Contact PURPOSE AND EFFECT: The proposed rule amendments make changes to forms necessary to implement the Social Security Protection Act of 2004 and the Deficit Reduction Act of 2005 and to amend forms for clarity and ease of use.

SUBJECT AREA TO BE ADDRESSED: The proposed rule amendment makes changes to forms necessary to implement the Social Security Protection Act of 2004 and the Deficit Reduction Act of 2005 and to amend forms for clarity and ease of use.

SPECIFIC AUTHORITY: 409.919, 409.953, 414.033, 414.45

LAW IMPLEMENTED: 400.903, 409.904, 410.033, 414.065, 414.075, 414.085, 414.095, 414.105, 414.115, 414.122, 414.125, 414.13, 414.16, 414.21, 414.28, 414.31 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW.

DATE AND TIME: October 9, 2006, 1:30 p.m.

PLACE: 1317 Winewood Boulevard, Building 3, Room 455, Tallahassee, Florida 32399-0700

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT, IF AVAILABLE, IS: Lonna Cichon, Government Operations Consultant II, Food Stamp/TANF Policy, Economic Self-Sufficiency, 1317 Winewood Boulevard, Building 3, Room 406, Tallahassee, Florida 32399-0700, telephone 488-8004

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

DEPARTMENT OF FINANCIAL SERVICES

Division of Agent and Agency Services

RULE NO.: RULE TITLE: 69B-211.002 General Procedures

PURPOSE AND EFFECT: The purpose of the proposed rule development is to adopt revisions to the Department's application form for licensing and registration of insurance agencies and to provide that applications are to be submitted electronically via the Department's website.

SUBJECT AREA TO BE ADDRESSED: Application form for licensing and registration of insurance agencies.

SPECIFIC AUTHORITY: 624.308, 626.161, 626.171, 626.172 FS.

LAW IMPLEMENTED: 624.308, 624.321, 624.501, 626.171, 626.172, 626.201, 626.211, 626.271, 626.541, 626.592, 626.611, 626.621, 626.752, 626.793, 626.837, 626.8417 FS. IF REQUESTED AND NOT DEEMED UNNECESSARY BY

THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: September 18, 2006, 1:30 p.m.

PLACE: Room 116, Larson Building, 200 E. Gaines Street, Tallahassee, Florida

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT IS: Hazel Muhammad, Chief, Bureau of Licensing, Department of Financial Services, 200 E. Gaines Street, 412 Larson Building, Tallahassee, FL 32399-0319, (850)413-5460

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this program, please advise the Department at least 5 calendar days before the program by contacting Serica Johnson, (850)413-4241.

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

69B-211.002 General Procedures.

- (1) through (11) No change.
- (12) An applicant for an insurance agency license or registration shall complete and submit Form DFS-H2-495 296, "Application for Insurance Agency License," rev. 7/06 10/95. All applications shall be submitted through the Department's website at https://aalf.fldfs.com/common/com index.asp.

Specific Authority 624.308, 626.161, 626.171, 626.172 FS. Law Implemented 624.308, 624.321, 624.501, 626.171, 626.172, 626.201, 626.211, 626.271, 626.541, 626.592, 626.611, 626.621, 626.752, 626.793, 626.837, 626.8417 FS. History–New 6-4-92, Amended 5-17-94, 6-7-99, Formerly 4-211.002, Amended

DEPARTMENT OF FINANCIAL SERVICES

Division of Consumer Services

RULE NO.: RULE TITLE: 69J-7.003 Inspections

PURPOSE AND EFFECT: This rule implements Section 215.5586, F.S., by incorporating the pamphlet which contains the procedures for determining the eligibility of homes for free inspection under the Florida Comprehensive Hurricane Damage Mitigation Program.

SUBJECT AREA TO BE ADDRESSED: The Florida Comprehensive Hurricane Damage Mitigation Program.

SPECIFIC AUTHORITY: 215.5586(6) FS.

LAW IMPLEMENTED: 215.5586 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: Wednesday, September 27, 2006, 12:00 Noon

PLACE: Room 116, Larson Building, 200 East Gaines Street, Tallahassee, Florida

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting Jill Chamberlin, (850)413-2496. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Jill Chamberlin, Research and Planning Administrator, Division of Consumer Services, Department of Financial Services, 200 East Gaines Street, Tallahassee, FL 32399-0320; (850)413-2496

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS:

FLORIDA COMPREHENSIVE HURRICANE DAMAGE MITIGATION PROGRAM (MY SAFE FLORIDA HOME PROGRAM)

69J-7.003 Inspections.

The standards and procedures for determination of eligibility for a free home inspection pursuant to Section 215.5586, F.S. are set forth in Florida Department of Financial Services Florida Comprehensive Hurricane Damage Mitigation Program My Safe Florida Home Program Homeowner's Guide to Free Home Inspections (Eff.), which is hereby incorporated by reference into this rule.

Specific Authority 215.5586(6) FS. Law Implemented 215.5586 FS. History-New_

RULE TITLES:

FINANCIAL SERVICES COMMISSION

Office of Financial Regulation - Banking

RULE NOS.:

| RULE NUS | RULE IIILES. |
|--------------|---------------------------------------|
| 69U-110.002 | Definitions |
| 69U-110.005 | Application and Filing Fee |
| 69U-110.0061 | Bylaws |
| 69U-110.008 | Branches |
| 69U-110.021 | Filling of Appointments |
| 69U-110.0211 | Liability and Bond Insurance |
| 69U-110.0212 | Policies |
| 69U-110.026 | Supervisory/Audit Committee; Audit |
| 69U-110.031 | Powers |
| 69U-110.038 | Applications for Credit |
| 69U-110.0381 | Loans Secured by Real Estate |
| 69U-110.0382 | Credit Cards |
| 69U-110.042 | Investments |
| 69U-110.043 | Reserves |
| 69U-110.0431 | Depletion of Regular Reserves |
| 69U-110.0432 | Equity Plan Requirements |
| 69U-110.044 | Accounting Practices |
| 69U-110.045 | Reports to OFR |
| 69U-110.050 | Currency Reporting Compliance |
| | Requirements |
| 69U-110.062 | Capitalization Criteria for |
| | Conservatorship or Involuntary |
| | Liquidation Actions |
| 69U-110.063 | Credit Unions Liquidation Certificate |
| | and Maintenance of Records |
| 69U-110.065 | Merger |
| 69U-110.068 | Central Credit Unions |
| | |

PURPOSE AND EFFECT: Chapter 2005-181, Laws of Florida, revises provisions contained in Chapters 655 and 657, Florida Statutes, relating to state-chartered financial institutions in general and the regulation of state-chartered credit unions in particular. In summary, the law incorporates changes to provide consistency with the National Credit Union Administration guidelines and federal regulations; authorizes the Financial Services Commission to adopt rules to establish criteria under which the Office of Financial Regulation may

place a credit union in involuntary liquidation; updates accounting requirements to conform with generally accepted accounting principles of the United States; revises procedures governing a merger of credit unions; removes specific powers of a credit union in favor of broader business powers; broadens the authority of the Office of Financial Regulation to issue an emergency order to require merger, conversion, or other appropriate action for a failing bank or trust company to apply to other financial institutions, including credit unions; and removes obsolete language relating to the Florida Credit Union Guaranty Corporation, which no longer exists. In light of this law, the Office of Financial Regulation is proposing the adoption of new rules, and amendments to, or the repeal of, certain existing administrative rules governing the regulation of state-chartered credit unions.

SUBJECT AREA TO BE ADDRESSED: State-Chartered Credit Unions.

SPECIFIC AUTHORITY: 120.54, 655.012(2), 657.005, 657.062, 657.063 FS.

LAW IMPLEMENTED: 655.044, 655.045, 655.057, 655.60, 655.061, 657.005, 657.008, 657.021, 657.026, 657.028, 657.031, 657.038, 657.042, 657.043, 657.0061, 657.062, 657.063, 657.065 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT IS: Sharon Whiddon, Chief, Bureau of Credit Union Regulation, Office of Financial Regulation, Fletcher Building, 101 East Gaines Street, Tallahassee, Florida 32399, telephone: (850)410-9536

THE PRELIMINARY TEXT OF THE PROPOSED RULE **DEVELOPMENT IS:**

69U-110.002 Definitions.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.002, 657.008(2), 657.038(12) FS. History–New 7-25-66, Renumbered from 3-5.02 to 3D-10.01 on 7-18-75, Amended 10-13-81, Formerly 3C-30.01, 3C-30.001, Amended 10-8-95, Formerly 3C-110.002, Repealed

69U-110.005 Application and Filing Fee.

Application for authority to organize and operate a credit union shall be made to OFR per Rules 69U-105.001, 69U-105 Part I, F.A.C., and as follows:

(1) The organizers of the proposed credit union shall complete and file Form OFR-U-60, Application for Authority to Organize and Operate a Credit Union (revised 3/2003), which is hereby incorporated by reference, in triplicate and proposed bylaws on Form OFR-U-61, Model Credit Union Bylaws By-laws (revised 6/2006 3/2003), which is hereby incorporated by reference, in triplicate. The original shall be submitted to OFR with a nonrefundable filing fee of \$250. A copy shall be submitted to the National Credit Union Administration and a copy shall be retained by the organizers.

- (2) Each proposed director, member of the supervisory committee, and member of the credit committee, and executive officer shall complete the biographical report section only of Form OFR-U-10, Biographical Report (revised 3/2003) and Form OFR-U-10-A (revised 6/2006), which are hereby incorporated by reference, in triplicate. The original shall be submitted to OFR, a copy shall be submitted to the National Credit Union Administration, and a copy shall be retained by the organizers.
- (3) Filing; Pre-filing meeting. OFR encourages that the applicant, including a member of the proposed board of directors, meet with staff of OFR to discuss the procedures and requirements for opening a new state financial institution prior to filing an application.

Specific Authority 120.543(1)(b), 655.012<u>(2)(3)</u>, 657.005 FS. Law Implemented 657.005 FS. History–New 10-21-75, Amended 3-21-77, 10-13-81, Formerly 3C-30.02, 3C-30.002, Amended 10-8-95, Formerly 3C-110.005, Amended

69U-110.0061 Bylaws.

- (1) New Credit Unions. Newly organized credit unions shall adopt bylaws in such form as provided by OFR, Form OFR-U-61, Model Credit Union Form Bylaws (revised 6/2006), which is hereby incorporated by reference, as their initial set of bylaws. The Model Credit Union Bylaws may be obtained from the OFR website or upon request.
- (2) Amendments. All <u>proposed</u> bylaw amendments shall be submitted to OFR <u>by filing Bylaw Amendment Form OFR-U-61-A</u> (revised 6/2006), which is hereby incorporated <u>by reference</u>. OFR shall approve or disapprove <u>proposed</u> bylaw amendments within 60 days <u>after receipt of a complete application</u>. <u>after receipt of same</u>, except that amendments to the bylaws affecting the following subjects shall not require prior approval before they become operative:
 - (a) Receipting for moneys received.
 - (b) Dividends and interest refunds.
 - (c) Executive officers.

Bylaw Amendment Form OFR U 61 A (revised 3/2003), which is hereby incorporated by reference, shall be used for submitting all bylaw amendments to OFR.

- (3) To ensure compliance with Section 657.0061(1), F.S., a credit union that is proposing to expand its field of membership through a bylaw amendment must:
- (a) Be operating in a safe and sound manner per paragraph 69U-100.948(2)(a), F.A.C., unless evidence is provided to show that a field of membership expansion will improve the

financial condition of the credit union, and; OFR shall require any credit union filing a bylaw amendment which expands its field of membership to complete Form

- (b) Complete Form OFR-U-70, Field of Membership Expansion Questionnaire (effective 10/95, revised <u>6/2006</u> <u>3/2003</u>), which is hereby incorporated by reference, when the expansion <u>is geographic or</u> increases the credit union's potential field of membership by more than five percent (5%).; or
- (c) Provide to the OFR written notice of the number of potential new members if the field of membership expansion is non-geographic, and the resulting increase is five percent or less than the potential membership.
- (4) Relocation. A credit union may change its principal place of doing business upon approval of OFR and filing an amendment on Form OFR-U-61-A to its bylaws. The filing shall include appropriate and sufficient financial information as OFR may require to document the investment in fixed assets.

(5)(4) Adoption of OFR Model Credit Union Form Bylaws. Any existing credit union may which desires to adopt the OFR form Model Credit Union Bbylaws as their bylaws may do so, in whole or in part, without prior application or notice to the OFR, however, no credit union shall change its name, the address of its principal place of business, or its field of membership through this process. The credit union shall file its amended bylaws with OFR within 10 business days of such adoption by the appropriate bylaw amendment process, and file with OFR after adoption by the credit union. Prior approval of the OFR shall not be required for such adoption provided that no credit union shall change its name, the address of its principal place of business, its field of membership, or the par value of each share without first obtaining the written approval of OFR.

(6)(5) Restatement of Bylaws. Any existing credit union may administratively which desires to restate its bylaws for clarity, correction of typographical errors, and to incorporate, incorporateing all previously approved bylaw amendments without prior application or notice to the OFR, may do so. No However, a credit union may not make additional changes to its bylaws are authorized through the bylaw restatement procedure. The credit union shall file its restated bylaws with OFR within 10 business days of such restatement.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.005(3)(e), 657.0061(1), 657.008 FS. History–New 7-25-66, Renumbered from 3-5.10 to 3D-10.05 on 7-18-75, Amended 10-21-75, Formerly 3D-10.06, Amended 10-13-81, Formerly 3C-30.06, 3C-30.006, Amended 10-8-95, Formerly 3C-110.0061, Amended

69U-110.008 <u>Branches</u> Relocation and Place of Doing Business.

- (1) "Branch office" means any permanent location or mobile unit other than the principal place of business where membership services are provided by credit union staff. Relocation. A credit union may change its principal place of doing business in the state upon approval of OFR.
- (a) OFR shall approve such request when the credit union has shown that:
- 1. The relocation of the principal place of business is reasonably necessary to furnish service to its members; and
- 2. The new location will not unduly injure any state or federal credit union whose field of membership overlaps that of the applicant credit union.
- (b) A credit union may change the location of its office without approval of OFR provided the street address as filed with OFR is not changed.
- (2) Branches. A credit union operating in a safe and sound manner in paragraph 69U-100.948(2)(a), F.A.C., may open a branch office or relocate branch offices previously established by providing separate from its principal place of business with 30 days' after prior written notification to OFR not less than thirty (30) days before the opening of the branch. The notification must specify the name and location of the branch office and effective date of the change. The branch office location and operations must comport with the credit union's bylaws and authorized field of membership. Notification shall be made on Form OFR-U-65, Notice of Establishment of a Credit Union Branch Office (revised 3/2003), which is hereby incorporated by reference.
- (3) Any additional investment in fixed assets <u>related</u> to be made in conjunction with a change in location or opening of a branch office may not exceed the statutory limits of investments in real estate and equipment without prior written approval of OFR <u>unless the credit union meets the criteria of subparagraph 69U-110.031(2)(a)2., F.A.C.</u>
- (4) OFR shall be notified in writing <u>within ten business</u> <u>days</u> of the closing of an established branch office.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.008(1), (2), (5), 657.042(5) FS. History–New 6-16-78, Amended 10-13-81, ______.

69U-110.021 Filling of Appointments.

(1) Within thirty (30) days after election or appointment, including any change of position, each executive officer, director, member of the supervisory or audit committee, and member of the credit committee, or credit manager, and chief executive officer shall complete and file with the OFR the biographical report section only of Form OFR-U-10, Biographical Report (revised 3/2003) and Form OFR-U-10-A (revised 6/2006). The foregoing forms are hereby incorporated

by reference file Form OFR-U-62, Statement of Directors, Committee Members and Certain Officers (revised 3/2003) which are hereby incorporated by reference, with OFR.

- (2) No change.
- (3) Within 30 days after election or appointment, a record of the names and addresses of the members of the board, members of committees, and all officers of the credit union shall be filed with the office. This filing may be satisfied by providing the office with a copy of reports filed with the National Credit Union Administration per 12 C.F.R. § 741.6 (2006), which is incorporated by reference.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.021(3), 657.028(4), (6) FS. History–New 7-24-66. Renumbered from 3-5.07 to 3D-10.08 on 7-18-75, Amended 10-21-75, Formerly 3D-10.08, Amended 10-13-81, Formerly 3C-30.08, 3C-30.008, Amended 10-8-95, Formerly 3C-110.02, Amended

69U-110.0211 <u>Liability and Bond Insurance</u> Surety Bonds.

The credit union shall maintain officer and director liability insurance and blanket bond insurance in such amounts and terms as required to maintain insurance of accounts by the National Credit Union Share Insurance Fund, as provided in National Credit Union Administration Rules 741.3(c) and 741.201(a) (codified at 12 C.F.R. §§ 741.3(c) and 741.201(a), respectively (2006)), which are hereby incorporated by reference. The liability and bond insurance shall provide, at a minimum, coverage for errors, omissions, negligence, fraud, and dishonesty by all employees, directors, officers, supervisory or audit committee members, and credit committee members. The credit union shall also maintain applicable insurance coverage with respect to all operations and activities. All officers, directors, committee members and employees of a eredit union handling or having access to money, bank accounts, or securities owned by or pledged to the credit union shall be covered by a blanket surety bond protecting the credit union against loss due to fraud or dishonesty in an amount not less than that shown in the following schedule based on the assets of the credit union at the end of the preceding calendar

(a) The minimum required amounts of blanket bond coverage, based on the credit union's total assets, are:

Assets **Minimum Bond** \$0 to \$10.000 Coverage equal to the credit union's assets. \$10,000 for each \$100,000 or \$10,001 to \$1,000,000 fraction thereof in assets. \$1,000,001 to \$50,000,000 \$100,000 plus \$50,000 for each million or fraction thereof over \$1,000,000 in assets. \$50.000.001 to \$295.000.000 \$2.550.000 plus \$10.000 for each million or fraction thereof over \$50,000,000 in assets.

Over \$295,000,000 \$5,000,000

The board of directors is required to provide adequate protection to meet the credit union's unique circumstances by obtaining, when necessary, bond and insurance coverage in excess of the required minimum. The board of directors must also conduct an annual review of all bond and insurance policies to ascertain that such coverage adequately covers the risks of operating the credit union. and also meets the minimum requirements. The board shall ensure that the credit union complies with all National Credit Union Administration rules relating to surety bonds.

(2) The maximum amounts of permissible deductibles, based on the credit union's assets, are:

Assets Assets of \$1 to \$100,000 Assets of \$100,001 to \$250,000 Assets of \$250,001 to \$1,000,000 \$2,000 deductible Assets over \$1,000,001

Deductible allowed: No deductible allowed \$1,000 deductible \$2,000 plus 1/1,000 of total assets up to a maximum deductible of \$200,000

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.021(7)(a) FS. History–New 10-13-81, Formerly 3C-30.33, 3C-30.033, Amended 10-8-95.

69U-110.0212 Policies.

The board of directors shall establish appropriate written policies and operating strategies to conduct prudent credit union operations based on the complexity of the credit union. Policies shall be reviewed and approved/reaffirmed per a schedule adopted by the Board, but no less than once every two years from the previous adoption or reaffirmation of each policy. The board is encouraged to consider published industry guidance provided by regulatory agencies in the development of policies, if available. The policies shall ensure that the credit union complies with all applicable state and federal requirements with respect to all operations and activities.

Specific Authority 120.54, 655.012 FS. Law Implemented 657.021 FS. History-New_

69U-110.026 Supervisory/Audit Committee; Audit.

(1) The supervisory committee of each state chartered eredit union shall perform, or cause to be performed, an audit of the credit union to include every general ledger control account and related internal control procedures within each calendar year and within 15 months of the previous audit. Such audit shall be in compliance with the Comprehensive Annual Audit - Minimum Audit - Procedures Report and shall be filed on Form OFR-U-63 (revised 3/2003), which is hereby incorporated by reference. No audit performed to satisfy this requirement shall be made within six (6) months of the previous audit, unless prior written consent has been obtained from OFR.

(1) Audit Committee.

- (2) In lieu of establishing a supervisory committee, a credit union may elect or appoint an audit committee in compliance with the following conditions:
- (a) The credit union's bylaws must be amended to provide for an audit committee, to set forth the membership of the audit committee, and to establish whether the members of the audit committee are appointed or elected to such position;
- (b) Directors Any director which participates on the audit committee shall not perform or participate in any audits an audit for the audit committee; and
 - (c) No change.
- (2) The supervisory or audit committee of each state chartered credit union shall perform, or cause to be performed, an annual audit of the credit union in each calendar year and within 15 months of the previous audit. The complete audit, including all summaries, reports, drafts, work papers, and similar documents, shall be made available upon request to the OFR for examination, copying, and review at the credit union's principal place of business. The annual audit shall be performed in such a manner as to ensure the maintenance of account insurance as required by Section 657.033, F.S., as provided in National Credit Union Administration Rule 741.202 (codified at 12 C.F.R. § 741.202 (2006)), which is hereby incorporated by reference.
- (3) Persons Qualified to Perform Audits. Any person which performs audits for a credit union must qualify pursuant to one of the following classes:
- (a) The supervisory committee which has been duly elected by the membership or appointed by the board of directors. The supervisory committee must complete all the requirements contained in the Comprehensive Annual Audit -Minimum Audit Procedures Report, Form OFR-U-63, including preparing supporting work papers;
- (b) Any person with a minimum of two (2) years of experience in auditing or examining financial institutions and which person has been duly appointed by the supervisory committee to perform such audit. Such person shall complete all the requirements of the Comprehensive Annual Audit Minimum Audit Procedures Report, Form OFR U 63, including all supporting work papers.
- (e) Certified public accountants which are licensed to practice in the State of Florida and which are independent of the credit union and its affiliates. Certified public accountants shall complete all of the requirements of the Comprehensive Annual Audit - Minimum Audit Procedures Report, Form OFR-U-63. Such minimum audit procedures shall include preparation of supporting work papers and the inclusion of the written comments of the credit union's management. In lieu of completing the requirements of the Comprehensive Annual Audit - Minimum Audit Procedures Report, Form OFR-U-63, an opinion audit meeting minimum compliance with the American Institute of Certified Public Accountant's (AICPA)

"Audit and Accounting Guide – Audits of Credit Unions" (dated May 1, 1994), which is hereby incorporated by reference, will satisfy the audit requirement.

(3)(4) Content, Submission and Review of Audit Reports.

- (a) Within 90 days after the completion of the audit, and within 45 days of acceptance by the board of directors, the board of directors shall submit with the report or shall be included in the report the following to the OFR: The audit report submitted to OFR shall include the following:
- 1. The date or dates on which the audit was conducted and the completion date;
- 2. A statement indicating that all of the procedures in the Comprehensive Annual Audit Minimum Audit Procedures Report, Form OFR U 63, were performed, or specific reasons why required procedures were not included in the audit. Additionally, a completed copy of Form OFR U 63 shall also be included. If an opinion audit prepared by a certified public accountant is submitted, the opinion audit must include a statement that certifies that the minimum audit procedures required by the AICPA's "Audit and Accounting Guide—Audits of Credit Unions" (dated May 1, 1994), which is hereby incorporated by reference, have been performed;
 - 2.3. A statement of condition as of the audit date;
- <u>3.4.</u> A statement of income and expense for the calendar period year-to-date;
 - 5. A schedule of verifications;
 - 6. A summary schedule of delinquent accounts;
- 4.7. A statement describing the audit findings and recommendations; and
- <u>5.8.</u> The A statement of the credit union's response to the audit findings and recommendations.
- (4) OFR shall review each audit and, if it finds that the audit does not comprehensively address all relevant areas of concern or accurately reflect the condition of the credit union, OFR shall require an audit pursuant to paragraph 655.045(3)(a), F.S.
- (b) The completed audit report shall be submitted to the board of directors within thirty (30) days of the completion date of the audit report. Within forty-five (45) days of the acceptance date of the audit report by the board of directors, the completed audit report, including all the requirements of paragraph (4)(a), and a notice of acceptance and consideration by the board of directors shall be submitted to OFR.
- (c) Each audit report and supporting work papers shall be subject to review by OFR. Upon completion of OFR's review, an acknowledgment will be furnished to the credit union noting acceptance of the audit report or indicating specific areas of inadequate compliance with the Comprehensive Annual Audit Minimum Audit Procedures Report, Form OFR U 63.
 - (5) Minimum Audit Requirements.

- (a) To be acceptable, every audit of a credit union must be made in accordance with the Comprehensive Annual Audit—Minimum Audit Procedures Report, Form OFR-U-63, and supported by work papers. An opinion audit by an independent certified public accountant together with the management letter comments and the credit union's response thereto, can be accepted as satisfaction of the minimum audit requirements.
- (b) OFR shall determine whether an audit is acceptable. OFR shall reject any audit which does not materially conform with the minimum prescribed requirements. OFR shall reject any audit if OFR finds that any person who has performed such audit has not followed recognized rules of ethics or conduct, or has not met the minimum standards of this section. Any material misstatement of facts or circumstances or any misrepresentation of any kind knowingly made shall also cause the audit to be rejected. If the audit is rejected, OFR shall have the option of requiring an acceptable audit to be made at the expense of the credit union.
- (e) The scope of the audit, the extent to which accounts must be tested and the audit comments required, will vary according to the adequacy of the internal control procedures. Credit unions having sound established internal control procedures may find these minimum requirements sufficient. However, the minimum procedures should not be construed as restrictive. Circumstances may make it necessary or desirable to expand certain procedures, apply alternative procedures, or extend the audit procedures to additional areas. The audit shall include a general review of the credit union's operating procedures and system of internal controls and the audit report shall include comments and recommendations resulting from this review. Unless otherwise stated, all test check procedures are to be performed from the previous audit date.
 - (d) Verification of accounts.
- 1. The verification of accounts required by section 115 of the Federal Credit Union Act (12 U.S.C. §1761d) and section 741.2 of the National Credit Union Administration Rules and Regulations (12 C.F.R. §741.2), which are hereby incorporated by reference, as such provisions existed on 10/95 could be substituted for the verification required in conjunction with the minimum audit requirements if performed using the same as of date as the audit.
 - 2. Loans.
- a. Commercial or Business Loans 20 percent of the total dollar volume provided that at least 5 percent of the total number of loans outstanding are represented, exclusive of past due loans, by positive verification.
- b. Installment Loans—10 percent of the total number of outstanding loans (unless warranted, such confirmations need not exceed 250 accounts), exclusive of past due loans, by positive or negative verification.
- e. Credit Card Accounts 5% of the total number of loans outstanding, exclusive of past due credit card accounts, by positive or negative verification.

- d. Past Due Loans and Credit Card Accounts 100 percent, by positive or negative verification.
 - 3. Shares, Share Drafts and Deposits.
- a. Shares 10 percent of the total number of accounts provided that 10 percent of the total dollar volume is represented, by positive or negative verification.
- b. Term Share and Deposits 100 percent of all accounts with a balance of \$100,000 or more and 10 percent of the total number of remaining accounts, by positive or negative verification.
- 4. Information required: The number of accounts, dollar amounts, customer response and reconcilement information will be included in the audit report in tabular form showing percentages in each case. Other sampling methods, used in an opinion audit, will be permitted if such methods meet the minimum requirements of minimum auditing standards which are generally recognized industry wide. In such a case, however, a schedule of confirmations showing the percentage of each type of account performed shall be included in the audit report in tabular form.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.045(3), 657.026 FS. History–New 7-25-66, Renumbered from 3-5.08 to 3D-10.10 on 7-18-75, Amended 10-21-75, Formerly 3D-10.10, Amended 10-13-81, Formerly 3C-30.10, 3C-30.010, Amended 10-8-95, Formerly 3C-110.026, Amended

69U-110.031 Powers.

- (1) Definitions. As used in Chapter 69U-110, F.A.C., and the Financial Institutions Codes, Title 38, Florida Statutes, as applicable to credit unions, and unless the context otherwise indicates a different meaning was intended, the term:
- (a) "General Powers" means the same powers as an individual to do all things necessary, convenient, or useful to carry out its business and affairs as a financial institution, consistent with the Financial Institutions Codes, in providing financial services and benefits to its members.
- (b) "Incidental Powers" means those powers necessary to conduct business activities that may only indirectly or coincidentally benefit or serve the credit union's members, mission, or business, or are the functional equivalent or logical outgrowth of activities that are part of the mission or business of credit unions and involves risks similar in nature to those already assumed as part of the business of credit unions.
- (2) General Powers Activities. Credit unions are authorized to engage in those general powers activities to provide financial services and benefits to their members without prior approval of the OFR, unless such approval is otherwise specifically required by law or is necessary to achieve competitive equality per Section 655.061, F.S. The following general powers activities are so authorized for competitive equality:

- (a) Credit unions exempted from significant events reporting per Section 655.948(4)(a), F.S., and meeting the net worth and Capital, Asset, Management, Earnings and Liquidity (CAMEL) rating eligibility criteria for the National Credit Union Administration's Regulatory Flexibility Program, as specified in 12 C.F.R Part 742 (2006), which is hereby incorporated by reference, may:
- 1. Establish and maintain Federal public unit and nonmember accounts in accordance with National Credit Union Administration (NCUA) Rule 701.32 (codified at 12 C.F.R. § 701.32 (2006)), which is hereby incorporated by reference, and the exemption of NCUA Rule 742.4(a) (codified at 12 C.F.R. § 742.4(a)(2006)), which is hereby incorporated by reference, consistent with the limitations of Chapter 280, F.S.;
- 2. Invest in real estate and equipment for the credit union as provided in Section 657.042(5), F.S., that may exceed five percent of the capital of the credit union, without prior notice or approval of the OFR; and
- 3. Invest in commercial mortgage related securities as may be permitted by NCUA Rule 703.16 (codified at 12 C.F.R. § 703.16 (2006)), which is hereby incorporated by reference, and the exemption, limitations and restrictions of NCUA Rule 742.4(b) (codified at 12 C.F.R. § 742.4(b) (2006)), which is hereby incorporated by reference.
- (b) Credit unions may serve as a United States Treasury Department tax and loan depository, a depository of Federal taxes, and a financial agent of the United States Government, in accordance with NCUA Rules (codified at 12 C.F.R. Chapter VII (2006)), which is hereby incorporated by reference.
- (3) Incidental Powers Activities. Credit unions are authorized to engage in such incidental powers activities as authorized by law or approved by the OFR. The following category of activities is pre-approved as incidental to carrying on credit union business:

Trustee or custodial services. Trustee or custodial services are services in which the credit union is authorized to act under any written trust instrument or custodial agreement created or organized in the United States and forming part of a tax-advantaged savings plan, as authorized under the Internal Revenue Code. These services may include acting as a trustee or custodian for member retirement, education and health savings accounts.

<u>Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.061, 657.031, 657.042 FS. History–New</u>

69U-110.038 Applications for Credit.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.038(12) FS. History—New 7-25-66, Renumbered from 3-5.01 to 3D-10.11 on 7-18-75, Amended 10-21-75, Formerly 3D-10.11, Amended 10-13-81, Formerly 3C-30.11, 3C-30.011, Amended 10-8-95, Formerly 3C-110.038, Repealed

69U-110.0381 <u>Loans Secured by Real Estate</u> <u>Minimum</u> Requirements for Certain Types.

The board of directors shall adopt and maintain written policies that establish appropriate limits and standards for loans that are secured by liens on or interests in real estate. Real estate lending policies must be: consistent with safe and sound industry practices; appropriate for the size of the credit union and scope of its operations; and reviewed and approved by the board of directors in accordance with Rule 69U-110.0212, F.A.C. The lending policies must establish: loan portfolio diversification standards; prudent underwriting standards; loan administration procedures for the credit union's real estate portfolio; and documentation, approval, and reporting requirements to monitor compliance with the credit union's real estate lending policies.

- (1) Loans secured by real estate. The board of directors must establish a mortgage loan policy which specifies the types of mortgage loans that can be made, the dollar limit on such loans, and the maximum maturity and minimum documentation requirements. An asset/liability management policy must also be established which limits the total percentage amount of all mortgage loans and the type of individual mortgage loans offered. The maximum amount (or credit limit) of a mortgage plus the outstanding balances (or credit limits) of any outstanding prior liens may not exceed appraised or documented property value. The minimum documentation required for all loans primarily secured by real estate includes:
- (a) Appraisal or determination of property value as required by Rule 69U-100.600, F.A.C., Appraisal Standards;
- (b) Mortgagee title insurance or an attorney's opinion of title. However, in the case of a home equity loan, mortgagee title insurance or an attorney's opinion of title is not required.
- (e) Hazard insurance, including fire and extended coverage, in an amount of at least the outstanding mortgage balance or value of any improvements used to determine value, whichever is less, with the credit union named as loss payee.
- (d) Flood insurance, if the property is located in a special flood hazard area, in an amount of at least the value of the improvements or the maximum amount obtainable under standard flood insurance policies.
- (e) Mortgage recorded in the public records of the county or counties wherein the property is located.
- (f) Closing statement reflecting the allocation/disbursement of the mortgage loan proceeds.
- (2) The minimum documentation requirements for loans based on the collateral consisting of motor vehicles, mobile homes, airplanes or boats include:
- (a) Evidence of a properly recorded lien in favor of the credit union, including the original title certificate where available, retained in the files of the credit union.
 - (b) Security agreement.

- (e) Evidence of hazard insurance insuring against fire, theft, comprehensive and collision coverage with a loss payee clause in favor of the credit union; provided, however, in lieu of hazard insurance purchased by the borrower, the credit union may purchase vendor's single interest insurance; the credit committee, or credit manager, may waive comprehensive and collision coverage by executing a statement of justification thereof, which statement shall be kept in the loan file.
- (3) The provisions of this rule are not all inclusive and all credit unions shall comply with all state and federal requirements with respect to all loans.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.60, 657.038(<u>5</u>)(6), (<u>11</u>)(<u>12</u>) FS. History–New 7-25-66, Amended 10-26-67, 3-9-70, Renumbered from 3-5.01 to 3D-10.13 on 7-18-75, Amended 10-21-75, Formerly 3D-10.13, Amended 10-13-81, Formerly 3C-30.13, 3C-30.013, Amended 10-8-95, Formerly 3C-110.0381, Amended ______.

69U-110.0382 Credit Cards.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.954(1), 657.031(27), 657.038 FS. History—New 2-1-78, Amended 10-13-81, Formerly 3C-30.28, 3C-30.028, Amended 10-8-95, Formerly 3C-110.0382, Repealed

69U-110.042 Investments.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.042(2), (6) FS. History–New 10-13-81, Amended 12-16-85, Formerly 3C-30.34, 3C-30.034, Amended 10-8-95, Formerly 3C-110.042, Repealed

69U-110.043 Reserves Allowance for Loan Losses.

- (1) Allowance for Loan Losses. The board of directors must establish procedures to verify that the allowance for loan losses account methodology is valid and conforms to generally accepted accounting principles and supervisory guidance. At a minimum, the board shall require an annual review through the annual audit or otherwise, by an independent party, as to the validity of, conformance to, and effectiveness of the established methodology and allowances. The allowance for loan loss account (allowance account) is a contra asset account to the loan accounts on the financial statement of a credit union. Each state chartered credit union must establish an allowance account within the records of the credit union. A credit union must thereafter maintain a reasonable balance in the account through periodic charges to the operating expense account (provision for loan loss account) of the credit union.
- (2) All loan and negative balance share or deposit balances charged-off shall be reported to the board of directors in accordance with a policy adopted by the board wherein parameters are set when to report individual amounts or aggregate amounts by classification, or both. The report shall be approved by the board. The amount of the allowance account must be adequate to cover specifically identified loans, as well as estimated losses inherent in the loan portfolio, such

as loans and pools of loans for which losses are probable but not identifiable on a specific loan-by-loan basis. At a minimum, the following formula shall be used to determine the adequacy of the allowance account:

- (a) 100% of classified loans; plus
- (b) The outstanding principal balance of all loans, less those which are classified, times the five year average loan loss ratio.
- (e) Classified loans include all loans that are delinquent or nonperforming and which have been determined by the board of directors to be worthless or doubtful of collection. To determine the dollar value of classified loans, the credit union management shall regularly review and report to the board of directors all delinquent or nonperforming loans. Nonperforming loans shall include all loans which have been refinanced or extended without adequate payment history.
- (d) The five year average loan loss ratio, as determined by the credit union, may be changed by OFR or the National Credit Union Administration if it is determined through an examination or visitation that the required amount is not representative of the losses inherent in the loan portfolio.
- (e) Credit unions which maintain sufficient detail to compute a loan loss ratio for each loan category or loans acquired through merger may establish a loan loss ratio for each category of loan.
- (f) The board of directors shall review the allowance account and direct any necessary adjustments to the account balance. If, at any time, the actual allowance account balance falls below the required balance, the board of directors shall increase the balance to the required amount before paying dividends.
- (3) Each credit union shall establish a monthly accrual based on estimated losses. The monthly accrual shall be credited to the allowance account and debited to the expense account.
- (4) The board of directors shall approve all charge offs of loans prior to such charge off. To record the charge off of a loan, the allowance account will be debited for the net amount charged off and the loan account will be credited. Any note or other obligation, either secured or unsecured, which is past due for 12 months or longer, is deemed to be worthless, or upon which no interest has been paid for 12 months or longer shall be charged off against the allowance account, except that:
- (a) Any such note or other obligation which is secured by a lien or other collateral may be carried as an asset of the credit union at the current fair market value of the collateral securing the note or obligation.
- (b) Any note or other obligation upon which payments are being made in a manner which will retire the debt may be carried as an asset of the credit union to the extent of its reasonable or market value as determined by the board.
- (c) Any such note or other obligation may be refinanced if a new note or obligation is executed and:

- 1. All past due interest is paid;
- 2. The loan is collateralized fully and such collateral amply secures the obligation; or
- 3. The member meets the underwriting criteria established in the loan and credit policy. The new note or obligation may then be carried as an asset of the credit union at full face value. Refinancing of a note or obligation, either secured or unsecured, which is past due for 12 months or longer or upon which no interest has been paid for 12 months or longer will be permitted only one time.
- (5) To record a recovery the allowance account will be eredited and the cash account debited. If interest is collected, the amount will be credited to income.
- (6) When setting aside the required transfer to the regular reserve the amount previously credited to the allowance account for loan losses in the form of monthly or special transfers during the period will be deducted from the required transfer first, then the regular reserve account.
- (7) For the purpose of determining the regular reserve to risk asset ratio, the total regular reserve will equal the sum of regular reserve plus allowance for loan losses.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.044, 657.043(2) FS. History–New 10-13-81, Formerly 3C-30.32, 3C-30.032, Amended 10-8-95, Formerly 3C-110.043, Amended

69U-110.0431 Depletion of Regular Reserves.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.043(3) FS. History—New 2-4-85, Formerly 3C-30.38, 3C-30.038, Amended 10-8-95, Formerly 3C-110.0431, Repealed

69U-110.0432 Equity Plan Requirements.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.043(8) FS. History–New 10-8-95, Formerly 3C-110.0432, Repealed

69U-110.044 Accounting Practices.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.044(1) FS. History—New 2-4-85, Formerly 3C-30.40, 3C-30.040, Amended 10-8-95, Formerly 3C-110.044, Repealed ______.

69U-110.045 Reports to OFR.

Each credit union shall prepare and submit an accurate and complete OFR shall require a quarterly report of financial condition to the OFR. The reports shall reflect the credit union's condition to be prepared as of the close of business on March 31, June 30, September 30, and December 31. Each credit union shall file its report electronically with the OFR and National Credit Union Administration (NCUA). The credit union shall provide the report required to maintain insurance of accounts by the National Credit Union Share Insurance Fund, as provided in NCUA Rule 741.6 (codified at 12. C.F.R. § 741.6 (2006)), which is hereby incorporated by reference. Form NCUA 5300 (revised 12/01), which is hereby

incorporated by reference. Such reports shall be due no later than April 22, July 22, October 22, and January 22, respectively, of each year. If any due date falls on a weekend or holiday, a quarterly report is timely filed if it is postmarked or electronically transmitted no later than the next business day. An administrative late fee of \$100.00 per day shall be levied against a credit union pursuant to Section 655.045(2)(b), F.S., for reports not timely filed unless the administrative fine is waived by OFR for good cause, such as incidental and isolated elerical errors or omissions.

Specific Authority 655.012(2) FS. Law Implemented 655.045(2) FS. History–New 10-31-81, Formerly 3C-30.31, 3C-30.031, Amended 10-8-95, 3-20-02, Formerly 3C-110.045, <u>Amended</u>.

69U-110.050 Currency Reporting Compliance Requirements.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655.50, 657.021(7) FS. History–New 2-24-88, Formerly 3C-30.041, Amended 10-8-95, Formerly 3C-110.050, Repealed ______.

69U-110.062 Capitalization Criteria for Conservatorship or Involuntary Liquidation Actions.

The office may take action to have a credit union placed into a conservatorship or involuntary liquidation upon finding that, among other reasons, the credit union is significantly undercapitalized or undercapitalized, and has no reasonable prospect of becoming adequately capitalized. The criteria for such determination of capitalization shall be as defined in the net worth categories contained in s. 216, the Federal Credit Union Act, codified at 12 U.S.C. 1790d(c), and the regulations promulgated thereunder in 12 C.F.R. Part 702 (2006), which are-incorporated by reference.

<u>Specific Authority 655.012, 657.062, 657.063 FS. Law Implemented 657.062, 657.063 FS. History–New</u>_______.

69U-110.063 Credit Unions Liquidation Certificate and Maintenance of Records.

- (1) No change.
- (2) OFR itself shall be authorized to receive and maintain the books and records of a credit union upon dissolution or it shall be authorized to appoint as custodian the National Credit Union Administration to keep and maintain the books and records of such dissolved credit union.
 - (3) No change.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 655-057, 657.062, 657.063, 657.064 FS. History–New 11-7-84, Formerly 3C-30.39, Amended 1-25-87, Formerly 3C-30.039, Amended 10-8-95, Formerly 3C-110.063, Amended

69U-110.065 Merger.

In conjunction with the requirements of Section 657.065, F.S., if the proposed surviving credit union is state chartered, it shall submit a nonrefundable application fee of \$500 to OFR, along with an Application for Approval of Merger on Form

OFR-U-64, (revised 3/2003), which is hereby incorporated by reference. If the proposed surviving credit union is federally chartered, the credit union shall submit a copy of the federal merger application to OFR. The Credit union shall notify OFR, NCUA and other regulatory agencies, as applicable, upon consummation of the merger.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.065 FS. History–New 7-25-66, Renumbered from 3-5.12 to 3D-10.23 on 7-18-75, Amended 10-21-75, Formerly 3D-10.23, Amended 10-13-81, Formerly 3C-30.23, 3C-30.023, Amended 10-8-95, Formerly 3C-110.065, Amended

69U-110.068 Central Credit Unions.

Specific Authority 120.54, 655.012(2) FS. Law Implemented 657.068(2)(d), (e) FS. History–New 10-13-81, Formerly 3C-30.36, 3C-30.036, Amended 10-8-95, Formerly 3C-110.068, Repealed

Section II Proposed Rules

DEPARTMENT OF TRANSPORTATION

RULE CHAPTER NO.: RULE CHAPTER TITLE:

14-57 Railroad Safety and Clearance

Standards, and Public

Railroad-Highway Grade Crossings

RULE NO.: RULE TITLE:

14-57.012 Standards for Opening and Closing

of Railroad-Highway Grade Crossings – Opening and Closure

PURPOSE AND EFFECT: The rule is being amended to add consideration of closing one or more public highway-rail grade crossings to offset opening a new crossing.

SUMMARY: Rule subsection 14-57.012(2), F.A.C., is being amended to add consideration of closing one or more public highway-rail grade crossings to offset opening a new crossing. SPECIFIC AUTHORITY: 334.044(2) FS.

LAW IMPLEMENTED: 335,141 FS.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COST: No Statement of Estimated Regulatory Cost has been prepared.

Any person who wishes to provide information regarding the statement of estimated regulatory costs, or to provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAW.