

**Section I**

**Notices of Development of Proposed Rules and Negotiated Rulemaking**

**DEPARTMENT OF EDUCATION**

**State Board of Education**

RULE NO.: 6A-1.099827  
 RULE TITLE: Charter School Corrective Action and School Improvement Plans

PURPOSE AND EFFECT: The purpose of this rule development is to implement provisions of Section 1002.33(9)(n), F.S. relating to charter school corrective actions and school improvement plans.

SUBJECT AREA TO BE ADDRESSED: School Improvement plans for charter schools and corrective actions for charter schools.

RULEMAKING AUTHORITY: 1008.33(3)(c) FS.

LAW IMPLEMENTED: 1002.33 FS.

A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: June 8, 2012, 9:00 a.m. –11:00 a.m.

PLACE: via conference call – 1(888)808-6959 (Code: 3988558244)

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Adam Miller, Director of Charter Schools, Office of Independent Education and Parental Choice, 325 West Gaines Street, Suite 1044, Tallahassee, Florida 32399-0400, or adam.miller@fldoe.org

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

**PUBLIC SERVICE COMMISSION**

RULE NO.: 25-12.045  
 RULE TITLE: Inactive Gas Service Lines

PURPOSE AND EFFECT: The purpose of this rulemaking is to address the Petition to Initiate Rulemaking to amend portions of Rule 25-12.045, F.A.C., filed by the Florida Natural Gas Association.

Docket No. 120068-GU

SUBJECT AREA TO BE ADDRESSED: Actions required to be taken by gas utilities for inactive gas service lines.

RULEMAKING AUTHORITY: 368.05(2) FS.

LAW IMPLEMENTED: 368.05(2) FS.

A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: July 19, 2012, 9:30 a.m.

PLACE: Florida Public Service Commission, Betty Easley Conference Center, Room 148, 4075 Esplanade Way, Tallahassee, Florida 32399-0850. One or more Commissioners may be in attendance and participate in the workshop.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Office of Commission Clerk, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Kathryn G.W. Cowdery, Florida Public Service Commission, Office of the General Counsel, 2540 Shumard Oak Blvd., Tallahassee, FL 32399-0850, (850)413-6216, kcowdery@fl.psc.state.us

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

**COMMISSION ON ETHICS**

RULE NOS.: 34-5.0006  
 RULE TITLES: Filing and Serving Documents; Facsimile Transmissions  
 34-5.0291  
 Award of Attorney’s Fees

PURPOSE AND EFFECT: The Commission proposes to change Rule 34-5.006, F.A.C., in response to comments received from the Joint Administrative Procedures Committee. Additionally, the Commission is amending Rule 34-5.0291, F.A.C., to require petitioners for fees and costs to include the amount of fees and costs expended by or on their behalf in complaint proceedings.

SUBJECT AREA TO BE ADDRESSED: The subject of this rulemaking includes the Commission’s procedural rule for probable cause determinations in complaint proceedings, and the rule that allows for respondents to recover their costs and attorney's fees against complainants pursuant to Section 112.317(7), Florida Statutes.

RULEMAKING AUTHORITY: 112.322(9) FS.

LAW IMPLEMENTED: Art. II, Section 8(f), (h), Fla. Const., 112.317(7), 112.322, 112.324 FS.

A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: Friday, June 15, 2012, 8:30 a.m.

PLACE: Senate Office Building, Room 37S, 404 S. Monroe Street, Tallahassee, Florida

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 3 days before the workshop/meeting by

contacting: Millie Fulford, (850)488-7864. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Julia Cobb Costas, Assistant General Counsel, Florida Commission on Ethics, Telephone (850)488-7864, or email: costas.julie@leg.state.fl.us

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**DEPARTMENT OF MANAGEMENT SERVICES**

**Personnel Management System**

RULE NO.: 60L-32.007  
 RULE TITLE: Selected Exempt Service  
 Extraordinary Payment Plan

PURPOSE AND EFFECT: The Department proposes to remove the provision that this section will expire July 1, 2012.

SUBJECT AREA TO BE ADDRESSED: An agency may propose, for Department approval, an agency-wide plan to compensate excluded Selected Exempt Service (SES) employees below the bureau chief or bureau chief comparable level who are directed to work hours in excess of the contracted hours in the regular work period in response to an unforeseen extraordinary event or occurrence to provide agency mission critical services to the public.

RULEMAKING AUTHORITY: 110.1055, 110.201(1), 110.602, 110.605(1), (2) FS.

LAW IMPLEMENTED: 110.201, 110.603 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: June 12, 2012, 10:00 a.m.

PLACE: Department of Management Services, 4050 Esplanade Way, Room 101, Tallahassee, FL 32399-0950

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 48 hours before the workshop/meeting by contacting: Ms. Mailea Adams, 4050 Esplanade Way, Suite 235C, Tallahassee, FL 32399-0950, (850)413-9503 or by email: Mailea.Adams@dms.myflorida.com If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Ms.

Mailea Adams, 4050 Esplanade Way, Suite 235C, Tallahassee, FL 32399-0950, (850)413-9503 or by email: Mailea.Adams@dms.myflorida.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

**DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION**

**Division of Alcoholic Beverages and Tobacco**

RULE NO.: 61A-3.035  
 RULE TITLE: Delinquent List

PURPOSE AND EFFECT: The purpose and effect of the proposed rule amendment is to update and consolidate language pertaining to the delinquent list into Rule 61A-3.035, F.A.C.

SUBJECT AREA TO BE ADDRESSED: The subject area to be addressed in this rule is the delinquent list maintained by the Division.

RULEMAKING AUTHORITY: 561.11 FS.

LAW IMPLEMENTED: 561.42(3),(4),(5) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: R. Kathleen Brown-Blake, Rules Attorney, Department of Business and Professional Regulation, 1940 North Monroe Street, Suite 42, Tallahassee, Florida 32399, (850)717-1244

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

**DEPARTMENT OF FINANCIAL SERVICES**

**Division of Worker's Compensation**

RULE NO.: 69L-5.217  
 RULE TITLE: Civil Penalties and Fines

PURPOSE AND EFFECT: The proposed amendment will reduce the amount of the penalty imposed by subparagraph 69L-5.217(1)(a)4., F.A.C., for delinquent reports from \$200 per day to \$100 per day.

SUBJECT AREA TO BE ADDRESSED: Reduction of the daily penalty for delinquent reports imposed by subparagraph 69L-5.217(1)(a)4., F.A.C.

RULEMAKING AUTHORITY: 440.38(1), (2), (3), 440.385(6), 440.525(2), 440.591 FS.

LAW IMPLEMENTED: 440.38(1), (2), (3), 440.385(1), (3), (6), 440.525 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE HELD AT THE DATE, TIME AND PLACE SHOWN BELOW:

DATE AND TIME: June 19, 2012, 9:30 a.m.

PLACE: Room 102, Hartman Building, 2012 Capital Circle S.E., Tallahassee, Florida

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Pam Macon at (850)413-1708 or Pamela.Macon@MyFloridaCFO.com. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Pam Macon, Chief, Bureau of Monitoring and Audit, Division of Workers' Compensation, 2012 Capital Circle S.E., Tallahassee, Florida 32399, (850)413-1708. The text of the proposed rule amendments will be available on the Division's website @ <http://www.MyFloridaCFO.com/WC/notices/html>

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS AVAILABLE AT NO CHARGE FROM THE CONTACT PERSON LISTED ABOVE.

**FINANCIAL SERVICES COMMISSION**

**Financial Institution Regulation**

RULE NO.:	RULE TITLE:
69U-105.206	Regulatory Standards for Evaluating Applications

PURPOSE AND EFFECT: The proposed rule amendment changes the amounts required for initial capital amounts to be consistent with the amounts as described pursuant to Section 658.21, F.S.

SUBJECT AREA TO BE ADDRESSED: Regulation of Financial Institutions – Licensing and Chartering of Financial Institutions

RULEMAKING AUTHORITY: 655.012(3), 658.26(2)(a) FS.

LAW IMPLEMENTED: 517.051(5), 517.301, 517.311, 655.001(2)(c), (d), 655.0322, 655.037, 655.0385, 655.0386, 655.057(6), 658.19, 658.20, 658.21, 658.25, 658.26, 658.33, 665.013, 667.003 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Jeffrey Jones, Assistant General Counsel, (850)410-9640, jeffrey.jones@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Financial Institution Regulation**

RULE NOS.:	RULE TITLES:
69U-110.005	Application and Filing Fee
69U-110.021	Filling of Appointments
69U-110.031	Powers

PURPOSE AND EFFECT: Rule 69U-110.005, F.A.C., is to be amended to strike the requirement that application forms be filed in triplicate. Rule 69U-110.021, F.A.C., is to be amended to delete and clarify certain reporting requirements concerning board members, committee members, and officers. Rule 69U-110.031, F.A.C., is to be amended to delete language made obsolete by statutory changes.

SUBJECT AREA TO BE ADDRESSED: Regulation of Financial Institutions – State Credit Unions.

RULEMAKING AUTHORITY: 655.012(2) FS.

LAW IMPLEMENTED: 657.005, 657.021, 657.028, 657.061, 657.031, 657.042 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Jeffrey Jones, Assistant General Counsel, (850)410-9640, jeffrey.jones@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Financial Institution Regulation**

RULE NOS.:	RULE TITLES:
69U-120.004	Loans Secured by Secondary Liens on Real Estate
69U-120.009	Securities Purchasable by Banks; Investment Characteristics
69U-120.045	Minimum Internal Audit Procedures

PURPOSE AND EFFECT: Rule 69U-120.004, F.A.C., is to be amended to conform the rule to statutory changes in Section 658.48, F.S.; and to correct a cross-reference of Section 655.012(3) to 655.012(2) F.S., as rulemaking authority. Rule 69U-120.009 is to be amended to conform the rule to statutory changes in Section 658.67, F.S., enacted by Chapter Law

2011-194; and to correct a cross-reference of Section 655.012(3) to 655.012(2), F.S., as rulemaking authority. Rule 69U-120.045, F.A.C., is to be amended to eliminate and reduce certain requirements relating to minimum internal audit requirements; and to correct a cross-reference of Section 655.012(3) to 655.012(2), F.S., as a law implemented and rulemaking authority.

SUBJECT AREA TO BE ADDRESSED: Regulation of Financial Institutions – Banks, Trust Companies, Savings Banks and Associations.

RULEMAKING AUTHORITY: 655.012(2), 655.06 FS.

LAW IMPLEMENTED: 658.48, 658.67, 655.012(2), 655.045 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Jeffrey Jones, Assistant General Counsel, (850)410-9640, jeffrey.jones@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Financial Institution Regulation**

RULE NOS.:	RULE TITLES:
69U-140.008	Permissible Activities for an Agency
69U-140.015	Capital Equivalency or Asset Maintenance

PURPOSE AND EFFECT: 69U-140.008 is to be amended to clarify and make the rule consistent with the actual activities that an international agency may engage in; and to correct a cross-reference of Section 655.012(3) to 655.012(2), F.S., as rulemaking authority. Rule 69U-140.015, F.A.C., to be amended to conform the rule to statutory provisions in Section 658.67, F.S., enacted by Chapter Law 2011-194; and to correct a cross-reference of 655.012(3) to 655.012(2), F.S., as rulemaking authority.

SUBJECT AREA TO BE ADDRESSED: Regulation of Financial Institutions – International Banks.

RULEMAKING AUTHORITY: 655.012(2), 663.061(3), 663.13 FS.

LAW IMPLEMENTED: 663.061, 663.07(1), (3), (5), (7), (9), 663.12(4) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Jeffrey Jones, Assistant General Counsel, (850)410-9640, jeffrey.jones@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Finance**

RULE NOS.:	RULE TITLES:
69V-40.175	Mortgage Brokerage Files
69V-40.260	Mortgage Lender Files

PURPOSE AND EFFECT: The proposed rule amendments conform maximum administrative penalties to be consistent with Section 494.00255, F.S.

SUBJECT AREA TO BE ADDRESSED: Finance Regulation – Mortgage Brokerage.

RULEMAKING AUTHORITY: 494.0016(4) FS.

LAW IMPLEMENTED: 120.695, 494.0016, 494.00255, 494.0038, 494.0043, 494.0023, 494.0067(8), 494.0068, 494.0069, 494.007, 494.0075 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Greg Oaks, Director, Division of Finance, greg.oaks@flofr.com, (850)410-9601

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Finance**

RULE NOS.:	RULE TITLES:
69V-160.025	Property Insurance on Loans

PURPOSE AND EFFECT: The proposed rule amendment strikes subparagraph (11), as there is no specific authority for this requirement under Chapter 516, F.S.; and corrects a cross-reference to remove Section 516.20, F.S., which was repealed, as a law implemented.

SUBJECT AREA TO BE ADDRESSED: Finance Regulation – Florida Consumer Finance Act.

RULEMAKING AUTHORITY: 20.05(5), 516.22(1) FS.

LAW IMPLEMENTED: 516.031(3) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Greg Oaks, Director, Division of Finance, greg.oaks@flofr.com; (850)410-9601

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Finance**

RULE NOS.: 69V-560.302  
 RULE TITLES: Renewal Fees, Deadlines, and Requirements

69V-560.802 Minimum Disclosure

PURPOSE AND EFFECT: Rule 69V-560.302, F.A.C., is to be amended to remove language redundant of the statute and provisions addressing renewal deadlines when there are holidays. All renewals are filed electronically and the REAL system is available 24 hours a day, 7 days a week. Rule 69V-560.802, F.A.C., is to be amended to remove deferred presentment providers from the requirement to post a schedule of fees, as there is no specific statutory authority as applied to deferred presentment providers.

SUBJECT AREA TO BE ADDRESSED: Regulation of Finance - Money Transmitters.

RULEMAKING AUTHORITY: 560.105, 560.404(23) FS.

LAW IMPLEMENTED: 560.142, 560.403, 560.309 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: Greg Oaks, Director, Division of Finance, greg.oaks@flofr.com, (850)410-9601

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Securities**

RULE NO.: 69W-400.002  
 RULE TITLE: Registration of Issuer Under Section 517.051(9), F.S., as Dealer

PURPOSE AND EFFECT: The proposed rule amendment corrects a cross-reference in paragraph (1)(a) from paragraph 69W-300.002(5)(c) to 69W-300.002(5)(a), F.A.C.

SUBJECT AREA TO BE ADDRESSED: Regulation of Securities – Exempt Securities.

RULEMAKING AUTHORITY: 517.03 FS.

LAW IMPLEMENTED: 517.051(9), 517.12(2) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: John Kim, Assistant General Counsel, (850)410-9781, john.kim@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Securities**

RULE NOS.: 69W-500.004  
 RULE TITLES: Computation of Number of Purchasers for Purposes of Section 517.061(11), F.S.

69W-500.007 General Solicitation or General Advertising in Connection with an Offering Exempted Under Section 517.061(11), F.S.

69W-500.013 Exemption/Mobile Home Parks/Limited Purpose Stock Offering

PURPOSE AND EFFECT: Rule 69W-500.004, F.A.C., is to be amended to strike subparagraphs (1)(a), (b), and (c), which are redundant of statutes. Rule 69W-500.007, F.A.C., is to be amended to define general solicitation or general advertising to include electronic media other than television or radio. Rule 69W-500.013, F.A.C., is to be amended to strike subsection (5), as this provision is unnecessary.

SUBJECT AREA TO BE ADDRESSED: Regulation of Securities – Exempt Transactions.

RULEMAKING AUTHORITY: 517.03 FS.

LAW IMPLEMENTED: 517.061(11), 517.061(18) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: John Kim, Assistant General Counsel, (850)410-9781, john.kim@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Securities**

RULE NOS.:	RULE TITLES:
69W-600.001	Application for Registration as a Dealer, Issuer/Dealer, or Investment Adviser
69W-600.004	Registration of Issuer/Dealers, Principals and Branch Offices
69W-600.008	Termination of Registration as Dealer, Investment Adviser, Branch Office, Principal or Agent
69W-600.020	Continuing Education Requirements

PURPOSE AND EFFECT: Rule 69W-600.001, F.A.C., is to be amended to strike subparagraph (1)(b)6., which requires proof of clearing agreements when requested by the Office, and to add a requirement for control persons and/or owners to provide fingerprint cards in support of the application pursuant to Section 517.12(7), F.S. Rule 69W-600.004, F.A.C., is to be amended to strike subparagraph (3)(b)4., relating to evidence of current registration with the Secretary of State, as there is no specific statutory authority for this requirement. Rule 69W-600.008, F.A.C., is to be amended to change the number of days for notice in subparagraph (1) from 20 to 30 for consistency with other amendment provisions, and to correct a cross-reference typographical error in subparagraph (1) from 59W to 69W. Rule 69W-600.020, F.A.C., is to be repealed, as the requirements in the rule are duplicative over FINRA requirements.

SUBJECT AREA TO BE ADDRESSED: Regulation of Securities – Registration of Dealers, Investment Advisers, Associated Persons.

RULEMAKING AUTHORITY: 517.03(1), 517.12(6) FS.

LAW IMPLEMENTED: 517.12(5), (6), (7), (10), (18), 517.1205, 517.12(12)(b), 517.161(1), (5) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: John Kim, Assistant General Counsel, (850)410-9781, john.kim@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Securities**

RULE NO.:	RULE TITLE:
69W-700.019	Submission of Sales Reports

PURPOSE AND EFFECT: Rule 69W-700.019, F.A.C., is to be amended to change the requirement of mandatory submission of sales reports to only require submission of sales reports upon request by the Office; and clarify the requirement of notice of consummated sales/discontinued offerings in subparagraph (3) by changing “should” to “shall.”

SUBJECT AREA TO BE ADDRESSED: Securities Regulation – Registration of Securities.

RULEMAKING AUTHORITY: 517.03(1) FS.

LAW IMPLEMENTED: 517.081(3) FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: John Kim, Assistant General Counsel, (850)410-9781, john.kim@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.

**FINANCIAL SERVICES COMMISSION**

**Securities**

RULE NO.:	RULE TITLE:
69W-800.001	Filing – Notification Registration Including Shelf Filings

PURPOSE AND EFFECT: Rule 69W-800.001, F.A.C., is to be amended to change the word “Telegraphic” in subsection (4) to “Electronic” to reflect other forms of electronic delivery.

SUBJECT AREA TO BE ADDRESSED: Securities Regulation – Notification Registration of Securities.

RULEMAKING AUTHORITY: 517.03(1) FS.

LAW IMPLEMENTED: 517.082 FS.

IF REQUESTED IN WRITING AND NOT DEEMED UNNECESSARY BY THE AGENCY HEAD, A RULE DEVELOPMENT WORKSHOP WILL BE NOTICED IN THE NEXT AVAILABLE FLORIDA ADMINISTRATIVE WEEKLY.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE DEVELOPMENT AND A COPY OF THE PRELIMINARY DRAFT, IF AVAILABLE, IS: John Kim, Assistant General Counsel, (850)410-9781, john.kim@flofr.com

THE PRELIMINARY TEXT OF THE PROPOSED RULE DEVELOPMENT IS NOT AVAILABLE.