

APD Licensing Disciplinary Chart

Violation	First Violation Discipline may consist of one or more of the following penalties:	Additional Violations Discipline may consist of one or more of the following penalties:
65G-2.002(1) Unlicensed activity	<ul style="list-style-type: none"> • Denial of license application • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Denial of license application, • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000, per day
65G-2.002(3), (4)(a), (5), (8) Initial License Application	<ul style="list-style-type: none"> • Denial of license application 	N/A
65G-2.002(3), (5), (8), (9), (10) Renewal Applications	<ul style="list-style-type: none"> • Denial of license application, • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Denial of license application, • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000, per day
65G-2.0021 Change of Ownership	<ul style="list-style-type: none"> • Denial of license application, • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Denial of license application, • Disciplinary action up to revocation of license, • Fine of no less than \$500, and up to \$1,000, per day
65G-2.003 (5) Length of License	<ul style="list-style-type: none"> • Denial or revocation 	N/A
65G-2.0032(4), (5), (6), (9), (10) Agency Monitoring and Oversight	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000, per day

65G-2.004(1) Failure to timely comply with a notice of noncompliance requirements	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine of no less than \$500, and up to \$1,000, per day
65G-2.004(2) Failure to timely comply with corrective action plan requirements	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.0041(1)(b)-(c), (5) Failure to comply with the requirements of a Corrective Action Plan, settlement agreement or Plan of Remediation; failure to pay fine or adhere to disciplinary measure	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.006(1)(a) Licensed capacity	<ul style="list-style-type: none"> • Suspension or moratorium up to 3 months • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to revocation • Suspension or moratorium up to 12 months • Fine up to \$1,000, per day
65G-2.006(1)(d) Request for capacity change must be received by Regional Office 30 days prior to change	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine of no less than \$500, and up to \$1,000, per day
65G-2.006(2) Failure to notify the Agency regarding census changes, failure to provide or update census accordingly	<ul style="list-style-type: none"> • Denial of license application • Disciplinary action up to moratorium, suspension, or revocation of license • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Denial of license application • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.006(3)(a) Needs and Characteristics of facility residents	<ul style="list-style-type: none"> • Moratorium up to 3 months • Fine of no less than \$500, and up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day

65G-2.006(3)(d) Licensee must request change in characteristics of those served 30 days prior to change	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine of no less than \$500, and up to \$1,000
65G-2.007(1)(a) - (c) Facility name and identification	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine of no less than \$500, and up to \$1,000, per day
65G-2.007(2) Lease requirements	<ul style="list-style-type: none"> • Application denial • Disciplinary action up to revocation of license • Moratorium for the during of the violation • Fine up to \$1,000 	<ul style="list-style-type: none"> • Application denial • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.007(3) General physical plant requirements	<ul style="list-style-type: none"> • Application denial • Disciplinary action up to revocation of license • Moratorium for the during of the violation • Fine up to 1,000 	<ul style="list-style-type: none"> • Application denial • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.007(4)-(24)	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.007(25) Mobile homes or manufactured homes	<ul style="list-style-type: none"> • Licensure Denial 	<ul style="list-style-type: none"> • Licensure Denial
65G-2.007(26) No fee for optional in-service training for family members, guardians	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.007(27) Willful or intentional misstatements	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.0071(1) – (3) Foster care facility standards	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day

65G-2.0072(1) - (3) Group home facility standards	<ul style="list-style-type: none"> Denial of application or renewal Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000 	<ul style="list-style-type: none"> Denial of application or renewal Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0072(4) Group home facility standards-- Designation of area office	<ul style="list-style-type: none"> Fine up to \$1,000 	<ul style="list-style-type: none"> Fine up to \$1,000, per day
65G-2.0073(1), (2) Residential Habilitation Center Standards	<ul style="list-style-type: none"> Fine up to \$1,000 	<ul style="list-style-type: none"> Fine up to \$1,000, per day
65G-2.0074(1) Adult Day Training Programs - Right to use and occupy	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0074(2)(a) – (l) Adult Day Training Programs - physical site standards	<ul style="list-style-type: none"> Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0074(3)-(6), (8) – (22) Adult Day Training Programs –	<ul style="list-style-type: none"> Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0074(7) Adult Day Training Programs - Heating and cooling	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0074(23)(a)-(e), (g)-(p) Adult Day Training Programs –Transportation	<ul style="list-style-type: none"> Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day
65G-2.0074(23)(f). Adult Day Training Programs –Participant shall not be left unattended in vehicle	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000 	<ul style="list-style-type: none"> Disciplinary action up to moratorium, suspension, or revocation of license Fine up to \$1,000, per day

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65G-2.0074(24) - (34) Adult Day Training Programs	• Fine up to \$1,000	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.008(1) Staffing Requirements for Residential Facilities - Adequate Staffing	• Fine up to \$1,000	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.008(2), (3) Staffing Requirements for Residential Facilities -	• Fine up to \$1,000	• Fine up to \$1,000, per day
65G-2.008(4) Staffing Requirements for Residential Facilities - Safety Standards	• Fine up to \$1,000	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.008(5) Staffing Requirements for Residential Facilities - Investigations	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.008(6), (7) Staffing Requirements for Residential Facilities -	• Fine up to \$1,000	• Fine up to \$1,000, per day
65G-2.009(1)(a) Resident Supervision	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000	• Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day

65G-2.009(1)(b) Client Bill of Rights	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(1)(d) Establish, maintain, and make available house rules and regulations	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(1)(e), (f) Facility establishment of resident government	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(2) Facilities shall provide opportunities for residents to participate in community activities	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(3) Transfer and Placement of Residents	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(4)(a) Resident Funds-Misuse	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(4)(b) - (k) Resident funds	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(5) Resident Records	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(6) Resident Supervision	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(7)(a), (b), (c), (e), (f) Video Monitoring	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day

65G-2.009(8)(a) Behavioral Interventions and Responses - written policies and procedures	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(8)(b), (c), (d) Behavioral Interventions and Responses	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(9)(a) Sexual Activity – enforce written policy	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(9)(b) Sexual Activity – training	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(9)(c) Sexual Activity - Safeguards to be implemented	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(10) Solicitation activities - authorization by client/guardian required	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(11)(a), (b) First Aid	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(12)(a) – (g) Medication	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(13)(a) – (c) Specialized Equipment	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.009(14)(a) -(b), (d)-(f), (h)-(m) Transportation Facility provision of transportation	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day

65G-2.009(14)(o) Encourage residents to use public transportation.	<ul style="list-style-type: none"> • Fine up to \$750 	<ul style="list-style-type: none"> • Fine up to \$1,000
65G-2.009(14)(c)(g)(p) Transportation-Supervision of residents, residents in seatbelts	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.009(14)(q) Transportation— Notification prior to facility staff taking out of the state overnight	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day, but in no event shall the aggregate exceed \$5,000
65G-2.009(15)(a), (b) Communication Among Staff	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.010(1) Fire and Emergency Procedures - Emergency Standards	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.010(2) Fire and Emergency Procedures -	<ul style="list-style-type: none"> • Denial of license application and/or revocation 	<ul style="list-style-type: none"> • Denial of license application and/or revocation
65G-2.010(3)-(6) Fire and Emergency Procedures -	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day
65G-2.015(3), (4), (6) Siting	<ul style="list-style-type: none"> • Denial of the license application • Fine up to \$1,000 	<ul style="list-style-type: none"> • Fine up to \$1,000, per day
65G-2.018(1)- (3) Personal Needs Allowance	<ul style="list-style-type: none"> • Fine up to \$1,000 	<ul style="list-style-type: none"> • Disciplinary action up to moratorium, suspension, or revocation of license • Fine up to \$1,000, per day