

**State of Florida**  
**Department of Business and Professional Regulation**  
**Division of Drugs, Devices, and Cosmetics**

**Application for Permit as a Cosmetic Manufacturer**  
**Form No.: DBPR-DDC-206**

**APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below with your application to ensure faster processing.**

APPLICATION	APPLICATION REQUIREMENTS
<p><b>Application for Permit as a Cosmetic Manufacturer</b></p>	<p><input type="checkbox"/> Submit fee of \$950.00, which includes \$800.00 <b>non-refundable</b> biennial application fee and \$150.00 initial application/on-site inspection fee. If establishment is applying for multiple manufacturing permits in the applicant's name and at applicant's address, you are only required to pay for the permit with the highest fee.</p> <p><input type="checkbox"/> Make cashier's check, corporate or business check, or money order payable to the Florida Department of Business and Professional Regulation.</p> <p><input type="checkbox"/> If you answer "Yes" to any question in Section IV, be sure to provide a detailed explanation along with any relevant documentation.</p> <p><input type="checkbox"/> Sign and date the Affidavit section of the application.</p>
	<p>Submit the completed application with enclosures to:            Department of Business and Professional Regulation            2601 Blair Stone Road            Tallahassee, FL 32399-1047</p>

**PLEASE NOTE:**

- Telephone, email, and fax contact information is used to quickly resolve questions with applications. If such information is not provided, questions regarding applications will be mailed to the application contact's mailing address and may take longer to resolve.
- The disclosure of Social Security numbers is mandatory on all professional and occupational license applications, is solicited by the authority granted by 42 U.S.C. §§ 653 and 654, and will be used by the Department of Business and Professional Regulation pursuant to §§ 409.2577, 409.2598, 499.012(4)(a)f, 499.012(8)(o), 499.63(2), and 559.79(3), Florida Statutes, for the efficient screening of applicant and licensees by a Title IV-D child support agency to assure compliance with child support obligations. It is also required by § 559.79(1), Florida Statutes, for determining eligibility for licensure and mandated by the authority granted by 42 U.S.C. § 405(c)(2)(C)(i), to be used by the Department of Business and Professional Regulation to identify licensees for tax administration purposes.

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If you have any questions or need assistance in completing this application, please contact the Department of Business and Professional Regulation, Division of Drugs, Devices and Cosmetics, at **850.717.1800**. ***For additional information see the instructions at the beginning of this application.***

**Section I – Application Type**

CHECK ONE OF THE APPLICATION TYPES
<input type="checkbox"/> New Application [3306/1020]
<input type="checkbox"/> New Application due to change in ownership. If checked, provide legal documentation for the change of ownership (i.e. Bill of Sale, stock transfer, merger). [3306/1020] Current Permit Number: _____

**Section II – Applicant Information**

APPLICANT INFORMATION
<b>TAXPAYER IDENTIFICATION NUMBER OR FEDERAL EMPLOYER IDENTIFICATION NUMBER</b> This is a unique nine-digit number assigned by the Internal Revenue Service (IRS) to business entities operating in the United States for the purposes of identification. When the number is used for identification rather than employment tax reporting, it is usually referred to as a Taxpayer Identification Number (TIN), and when used for the purposes of reporting employment taxes, it is usually referred to as the Federal Employer Identification Number (FEIN). Applicant's TIN/FEIN: _____
<b>FULL LEGAL NAME</b> The "full legal name" is the complete name of the business entity that will be operating the establishment. This is generally the name that is on the documents that establish the existence or formation of the business entity. For example, a corporation's full legal name would normally be the name that is found in the corporation's articles of incorporation. Applicant's Full Legal Name: _____
<b>FICTITIOUS, TRADE, OR BUSINESS NAME</b> If the applicant intends to operate the permitted establishment under a name that is different from the Applicant's Full Legal Name listed above – e.g. fictitious, trade, or business name (also commonly referred to as a "dba", "D/B/A", or "doing business as" name – this name must be registered with the Florida Department of State, Division of Corporations. This is the name that will appear on the permit issued to the applicant by the department and must be the name that the applicant uses on operational documents for permitted activities. <input type="checkbox"/> The applicant WILL NOT operate the permitted establishment under a name that is different from the Applicant's Full Legal Name listed above. <input type="checkbox"/> The applicant WILL operate the permitted establishment under the following fictitious, trade, or business name: _____ The fictitious, trade, or business name listed directly above, is registered with the Florida Department of State, Division of Corporations and the applicant has been issued the following registration number: _____.

<b>APPLICANT'S MAILING ADDRESS</b>			
Street Address or P.O. Box:			
City:	State:	Zip Code (+4 optional):	
County:	Phone Number:	Fax Number:	
<b>PHYSICAL ADDRESS OF ESTABLISHMENT TO BE PERMITTED (only if different from mailing address) Check <input type="checkbox"/> if not applicable</b>			
Street Address:			
City:	State:	Zip Code (+4 optional):	
County:	Phone Number:	Fax Number:	
E-Mail Address:			
<b>APPLICATION CONTACT</b>			
The application contact is the person that the department will contact if there are questions regarding the responses provided on, or the documentation submitted with, the application. The application contact is also the person that will receive all official communication from the department regarding the application.			
Last/Surname:	First:	Middle:	Suffix:
Address:			
City:	State:	Zip Code (+4 optional):	
Phone Number:		Fax Number:	
E-Mail Address:			
<b>EMERGENCY CONTACT INFORMATION</b>			
The emergency contact is the person that the department will contact in the case of an emergency. During an emergency, the department will contact this person at times outside of the regular business hours listed below. The contact information provided should be sufficient for the department to actually reach and communicate with the person listed in the event of an emergency.			
Last/Surname:	First:	Middle:	Suffix:
Position/Title:			
Street Address:			
City:	State:	Zip Code (+4 optional):	
County:	Phone Number:	Fax Number:	
E-Mail Address:			

**OPERATING HOURS**

List the establishment's daily hours of operation in terms of Eastern Time. REMEMBER to circle "a.m." or "p.m." for each time indicated below.

Mon ____:____ a.m./p.m. to ____:____ a.m./p.m.	Fri ____:____ a.m./p.m. to ____:____ a.m./p.m.
Tue ____:____ a.m./p.m. to ____:____ a.m./p.m.	Sat ____:____ a.m./p.m. to ____:____ a.m./p.m.
Wed ____:____ a.m./p.m. to ____:____ a.m./p.m.	Sun ____:____ a.m./p.m. to ____:____ a.m./p.m.
Thu ____:____ a.m./p.m. to ____:____ a.m./p.m.	

**Section III – Ownership Information**

**TYPE OF OWNERSHIP**

<input type="checkbox"/> Publicly Held Corporation	<input type="checkbox"/> Closely Held Corporation	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Charitable Organization—501(c)(3)	<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Government
<input type="checkbox"/> Partnership – General	<input type="checkbox"/> Professional Corporation or Association	<input type="checkbox"/> Professional Limited Liability Company
<input type="checkbox"/> Partnership – Other, Including Limited Liability Partnership and Limited Partnership	<input type="checkbox"/> Other: _____	

List the state of incorporation or state of organization (except Partnership – General or Sole Proprietorship). Business entities organized under non-U.S. laws list the country of organization.  
 N/A (Partnership – General or Sole Proprietorship)

State or Country:

List name and address of the applicant's registered agent for service of process in Florida (except Sole Proprietorship or Partnership – General) and provide documentation, such as a print out from the Florida Department of State, Division of Corporations' webpage, that the applicant's registered agent is registered with the Florida Department of State, Division of Corporations.  
 N/A (Partnership – General or Sole Proprietorship)

Name:

Address:

City:	State:	Zipcode (+4 Optional):
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List the name, position/title, social security number, date of birth and address of each owner, partner, member, manager, officer, director, chief executive, or other person who directly or indirectly controls the operation of the business entity, as applicable. For example, corporations would list officers and directors, limited liability companies would list members and managers, etc.

1.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
2.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:

	Street Address:	City:	State:	Zip Code:
3.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
4.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
5.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
6.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
7.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
8.	Name & Title:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
List the name, social security number, date of birth and address of each person who owns 10 percent or more of the outstanding stock or equity interest in the business entity.				
1.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
2.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:

3.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
4.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
5.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
6.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
7.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
8.	Name:	Social Security #:	Date of Birth:	% of Ownership:
	Street Address:	City:	State:	Zip Code:
List all trade or business names used by the applicant. Use additional sheet(s) if necessary. If the applicant does not use other trade or business names check this box <input type="checkbox"/> and write N/A on the lines below.				
Is the applicant a subsidiary of another company? (If yes, provide a listing of all parent companies with percentages of ownership, using additional sheet(s) if necessary). <b>Note:</b> A permit issued pursuant to this application is only valid for the applicant, and the applicant's name and address. (If no, please check this box <input type="checkbox"/> and write "N/A" in the lines below).				<input type="checkbox"/> Yes <input type="checkbox"/> No
Parent Company Name		% of Ownership		

**Section IV – Background Questions**

<b>BACKGROUND QUESTIONS</b>			
1.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any “affiliated party” (defined below) been found guilty of (regardless of adjudication), or pled nolo contendere to, in any jurisdiction, a violation of law that directly relates to a drug, device, or cosmetic?
2.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any affiliated party (defined below) been fined or disciplined by a regulatory agency in any state (including Florida) for any offense that would constitute a violation of Chapter 499, F.S.?
3.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any affiliated party (defined below) been convicted (regardless of adjudication) of any felony under a federal, state (including Florida), or local law?
4.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any affiliated party (defined below) been denied a permit or license in any state (including Florida) related to an activity regulated under Chapters 456, 465, 499, or 893, F.S.?
5.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any affiliated party (defined below) had any current or previous permit or license suspended or revoked which was issued by a federal, state, or local governmental agency relating to the manufacture or distribution of drugs, devices, or cosmetics?
6.	<input type="checkbox"/> Yes If yes, explain in detail in Section V	<input type="checkbox"/> No	Has the applicant <b>or</b> any affiliated party (defined below) ever held a permit issued under Chapter 499, F.S., in a different name than the applicant’s name? (If yes, provide the names in which each permit was issued and at what address).

The term “affiliated party” means: (a) a director, officer, trustee, partner, or committee member of a permittee or applicant or a subsidiary or service corporation of the permittee or applicant; (b) a person who, directly or indirectly, manages, controls, or oversees the operation of a permittee or applicant, regardless of whether such person is a partner, shareholder, manager, member, officer, director, independent contractor, or employee of the permittee or applicant; (c) a person who has filed or is required to file a personal information statement pursuant to s. 499.012(9) or is required to be identified in an application for a permit or to renew a permit pursuant to s. 499.012(8); or (d) the five largest natural shareholders that own at least 5 percent of the permittee or applicant.

**If you answered “YES” to any questions in Section IV, you must provide detailed explanations in Section V, including requirements for submitting supporting legal documents. If needed, explain on separate sheet(s).**

**Section V – Explanation(s) for “Yes” response(s) to background question(s) in Section IV**

<b>EXPLANATION(S)</b>





	records will be stored and maintained under question #2a.)	
2a	Physical address where required records will be stored Street Address:	
	City:	State: Zip Code (+4 optional):
3.	Will the required records be computerized, automated or stored electronically? If yes, will you have a back-up procedure to be able to provide required records?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
4.	Do you have labels of your products ready for inspection?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.	Do you manufacture a product that has a sunscreen (SPF)? (If yes, an Over-the-Counter Drug Manufacturer permit is required).	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	Do you intend to comply with all Federal and State "Current Good Manufacturing Practices"?	<input type="checkbox"/> Yes <input type="checkbox"/> No
7.	Does the applicant have written policies and procedures as set forth in rule 61N-1.010(6)-(10), F.A.C. regarding water requirements, product requirements, laboratory controls, internal audits, complaints, adverse events and recall requirements? Please review 61N-1.010(6)-(10), F.A.C. for specific requirements for required policy and/or procedure.  (If no, provide written explanation for lack of specific policy or procedure identified above).  Explanation attached? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A  (If yes, provide a copy of each policy and procedure. Label each policy and procedure specifically identifying the subject matter in the list above that is covered by the policy or procedure. For example, the policy or procedure for water monitoring could be labeled or identified as "Policy and/or Procedure for water monitoring" or in another manner similar to this example.  Policies attached? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Policies labeled? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No
8.	Rule 61N-1.010, F.A.C., effective July 5, 2015, sets forth the requirements for manufacturing cosmetics. Has the applicant or an employee of the applicant obtained a copy, reviewed and familiarized themselves with the requirements set forth in Rule 61N-1.010, F.A.C.? If not, it is recommended that they do so as the standards that will be applied to the applicant's establishment are set forth in Rule 61N-1.010, F.A.C., and failure to comply with the rule is the basis for permit application denial and enforcement action by the department.	<input type="checkbox"/> Yes <input type="checkbox"/> No
9.	Provide the date the establishment will be ready and available for inspection. This is the earliest date the application may be deemed complete.	___/___/20___

**Section VIII – Affidavit**

**AFFIDAVIT**

Pursuant to s. 559.79, F.S., each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Pursuant to s. 559.791, F.S., any license issued by the Department of Business and Professional Regulation which is issued or renewed in response to an application upon which the person signing under oath or affirmation has falsely sworn to a material statement, including, but not limited to, the names and addresses of the owners or managers of the licensee or applicant, shall be subject to denial of the application or suspension or revocation of the license, and the person falsely swearing shall be subject to any other penalties provided by law.

I UNDERSTAND THAT THE ISSUANCE OF A PERMIT BY THE DEPARTMENT ONLY AUTHORIZES THE APPLICANT TO CONDUCT REGULATED ACTIVITIES IN THE STATE OF FLORIDA UNDER THE NAME IN WHICH THE PERMIT IS ISSUED. IF THE PERMIT IS ISSUED IN THE NAME OF A DBA OR D/B/A THE APPLICANT MAY ONLY CONDUCT BUSINESS IN FLORIDA IN THE NAME OF THE DBA OR D/B/A.

I FURTHER UNDERSTAND THAT PROVIDING ADDITIONAL DBA OR D/B/A NAMES TO THE DEPARTMENT AS PART OF THE APPLICATION PROCESS IS NOT, UPON LICENSURE, AN AUTHORIZATION TO CONDUCT BUSINESS IN FLORIDA UNDER THE NAME OF THOSE ADDITIONAL DBA'S OR D/B/A'S.

I certify that I am empowered to execute this application as required by s. 559.79, F.S. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand the falsification of any information on this application may result in administrative action, including a fine, suspension, or revocation of the license.

Signature of Applicant, Owner or Chief Executive:	Date:
Print Name:	Title:

Mail completed application to:

Department of Business and Professional Regulation  
2601 Blair Stone Road  
Tallahassee, FL 32399-1047