

Office of Financial Regulation
Division of Consumer Finance
Disciplinary Guidelines for Consumer Finance Companies, FORM OFR-516-04,
Incorporated by reference in Rule 69V-160.111, F.A.C. – Effective XX/XXXX

<u>Violation #</u>	<u>Statute or Code</u>	<u>1st Offense</u>	<u>2nd Offense</u>	<u>3rd or Subsequent Offenses</u>
(1)	<u>Section 516.02(1), F.S.</u>	<u>\$100 - \$250 for each such act</u>	<u>\$250 - \$500 for each such act</u>	<u>\$500 - \$1000 for each such act</u>
(2)	<u>Section 516.02(3), F.S.</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(3)	<u>Section 516.031(1)-(5)</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(4)	<u>Section 516.035</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(5)	<u>Section 516.037</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(6)	<u>Section 516.05(4), (5),</u> <u>or (9)</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(7)	<u>Section 516.07(1)(a)-(i),</u> <u>(k)-(q)</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(8)	<u>Section 516.07(1)(j)</u>	<u>Revocation</u>	<u>Revocation</u>	<u>Revocation</u>
(9)	<u>Section 516.15(1)-(5)</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(10)	<u>Section 516.16</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(11)	<u>Section 516.17</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(12)	<u>Section 516.21</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(13)	<u>Section 516.31(5)</u>	<u>\$100 - \$250 for each such act</u>	<u>\$250 - \$500 for each such act</u>	<u>\$500 - \$1000 for each such act</u>
(14)	<u>Section 516.36</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(15)	<u>Section 516.38</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>
(16)	<u>Section 516.39</u>	<u>Fine/Suspension = A</u> <u>Revocation</u>	<u>Fine/Suspension = B</u> <u>Revocation</u>	<u>Fine/Suspension = C</u> <u>Revocation</u>