

**AHCA USE ONLY:**

File #: \_\_\_\_\_  
Application #: \_\_\_\_\_  
Check #: \_\_\_\_\_  
Check Amt: \_\_\_\_\_  
Batch #: \_\_\_\_\_

## Health Care Licensing Application Nursing Home

The Agency for Health Care Administration (AHCA) has implemented the **ONLINE LICENSING SYSTEM**, which allows the electronic submission of renewal and change during licensure period applications and fees, along with the ability to upload supporting documentation. To submit online please go to: <https://ahca.myflorida.com/health-quality-assurance/hqa-applications-for-licensure.html>.

Applications must be received **at least 60 days prior to** the expiration of the current license or effective date of a change of ownership to avoid a late fee. If the renewal application is received by the Agency less than 60 days prior to the expiration date, it is subject to a late fee as set forth in statute. The applicant will receive notice of the amount of the late fee as part of the application process or by separate notice. The application will be withdrawn from review if all the required documents and fees are not included with the application or received within 21 days of an omission notice. **Applications will not be considered for review until payment has been received. Renewal and Change During Licensure Period applications: Supporting documentation, responses to omissions and payments may be submitted using the online system even if the application was originally mailed to the Agency.** Please fill in all blanks or mark N/A if not applicable.

Under the authority of Chapters 408 Part II and 400, Part II, Florida Statutes (F.S.), and Chapters 59A-35 and 59A-4, Florida Administrative Code (F.A.C.), an application is hereby made to operate a nursing home as indicated below:

### 1. Provider / Licensee Information

**A. PROVIDER INFORMATION** – Please complete the following for the nursing home name and location. Provider name, address and telephone number will be listed on <https://quality.healthfinder.fl.gov/>.

|                                                                                                                        |                                                                                                          |                           |                         |
|------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|
| License Number (if applicable)                                                                                         | National Provider Identifier (NPI) (if applicable)                                                       | Medicare Number (CMS CCN) | Florida Medicaid Number |
| Name of Nursing Home (if operated under a fictitious name, enter as it is filed with Florida Division of Corporations) |                                                                                                          |                           |                         |
| Street Address                                                                                                         |                                                                                                          |                           |                         |
| City                                                                                                                   | County                                                                                                   | State                     | Zip                     |
| Telephone Number                                                                                                       | Fax Number                                                                                               |                           |                         |
| E-mail Address                                                                                                         | <b>Note:</b> By providing your e-mail address you agree to accept e-mail correspondence from the Agency. |                           |                         |
| Provider Website                                                                                                       |                                                                                                          |                           |                         |
| Mailing Address or <input type="checkbox"/> Same as above                                                              |                                                                                                          |                           |                         |
| City                                                                                                                   | County                                                                                                   | State                     | Zip                     |
| Telephone Number                                                                                                       | E-mail Address                                                                                           |                           |                         |

**B. PROPERTY OWNER INFORMATION** – Complete the following for the owner of the property if different from the licensee.

Does an individual or entity other than the licensee own the property where the principal office is located?

If ☐ NO, skip to **Section 1.C. – Contact Person**

If ☐ YES, please provide the following information:

Full Name of Property Owner

☐ Owned

☐ Leased

Telephone Number

Primary Address

Effective Date

|                                                                                                       |  |                                                                                                          |  |
|-------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------|--|
| <b>C. CONTACT PERSON</b> - Please complete the following for the contact person for this application. |  |                                                                                                          |  |
| Contact Person for this application                                                                   |  | Contact Telephone Number                                                                                 |  |
| Contact e-mail address or <input type="checkbox"/> Do not have e-mail                                 |  | <b>Note:</b> By providing your e-mail address you agree to accept e-mail correspondence from the Agency. |  |

  

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                   |                                                                                                                                       |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <b>D. LICENSEE INFORMATION</b> – Please complete the following for the entity seeking to operate the nursing home.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                   |                                                                                                                                       |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
| Licensee Name (this is the owner of the nursing home)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                   | Federal Employer Identification Number (EIN)                                                                                          |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
| Mailing Address or <input type="checkbox"/> Same as above                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                   |                                                                                                                                       |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
| City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | State                                                                                                                                             | Zip                                                                                                                                   |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
| Telephone Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Fax Number                                                                                                                                        | E-mail Address                                                                                                                        |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |
| Description of Licensee (check one): <table style="width: 100%; margin-top: 10px;"> <tr> <td style="vertical-align: top; width: 33%;"> <u>For Profit</u><br/> <input type="checkbox"/> Corporation<br/> <input type="checkbox"/> Limited Liability Company<br/> <input type="checkbox"/> Partnership<br/> <input type="checkbox"/> Individual<br/> <input type="checkbox"/> Hospital District<br/> <input type="checkbox"/> Sole Proprietor<br/> <input type="checkbox"/> Other         </td> <td style="vertical-align: top; width: 33%;"> <u>Not for Profit</u><br/> <input type="checkbox"/> Corporation<br/> <input type="checkbox"/> Religious Affiliation<br/> <input type="checkbox"/> Other         </td> <td style="vertical-align: top; width: 33%;"> <u>Public</u><br/> <input type="checkbox"/> State<br/> <input type="checkbox"/> City/County<br/> <input type="checkbox"/> Hospital District         </td> </tr> </table> |                                                                                                                                                   |                                                                                                                                       |  | <u>For Profit</u><br><input type="checkbox"/> Corporation<br><input type="checkbox"/> Limited Liability Company<br><input type="checkbox"/> Partnership<br><input type="checkbox"/> Individual<br><input type="checkbox"/> Hospital District<br><input type="checkbox"/> Sole Proprietor<br><input type="checkbox"/> Other | <u>Not for Profit</u><br><input type="checkbox"/> Corporation<br><input type="checkbox"/> Religious Affiliation<br><input type="checkbox"/> Other | <u>Public</u><br><input type="checkbox"/> State<br><input type="checkbox"/> City/County<br><input type="checkbox"/> Hospital District |
| <u>For Profit</u><br><input type="checkbox"/> Corporation<br><input type="checkbox"/> Limited Liability Company<br><input type="checkbox"/> Partnership<br><input type="checkbox"/> Individual<br><input type="checkbox"/> Hospital District<br><input type="checkbox"/> Sole Proprietor<br><input type="checkbox"/> Other                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <u>Not for Profit</u><br><input type="checkbox"/> Corporation<br><input type="checkbox"/> Religious Affiliation<br><input type="checkbox"/> Other | <u>Public</u><br><input type="checkbox"/> State<br><input type="checkbox"/> City/County<br><input type="checkbox"/> Hospital District |  |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                   |                                                                                                                                       |

## 2. Application Type and Fees

Indicate the type of application with an "X." **Applications will not be processed if not all applicable fees are included. Pursuant to section 408.805(4), F.S., fees are nonrefundable.** Renewal and Change of Ownership applications must be received 60 days prior to the expiration of the license or the proposed effective date of the change to avoid a late fine. If the renewal application is received by the Agency less than 60 days prior to the expiration date, it is subject to a late fee as set forth in statute. The applicant will receive notice of the amount of the late fee as part of the application process or by separate notice.

### A. TYPE OF APPLICATION

☐ Initial Licensure **Proposed Effective Date:** \_\_\_\_\_

Was this entity previously licensed as a Nursing Home in Florida? YES ☐ NO ☐

If YES, please provide the name of the agency (if different), the EIN # and the date the prior license expired or closed:

|       |       |                      |
|-------|-------|----------------------|
| NAME: | EIN # | Date Expired/Closed: |
|-------|-------|----------------------|

- ☐ Renewal Licensure  
☐ Change of Ownership **Proposed Effective Date:** \_\_\_\_\_  
☐ Licensee sale or transfer of ownership to a different individual/entity  
☐ Transfer or assignment of 51% or more ownership, shares, membership, or controlling interest of the licensee  
☐ Change During Licensure Period - select all that apply: **Proposed Effective Date:** \_\_\_\_\_

#### Fee Required

- ☐ Provider Name  
☐ Provider Address  
 Bed Capacity:  
☐ Increase ☐ Decrease  
 Inactive ownership  
☐ Partial ☐ Full ☐ Reactivation

#### No Fee Required

- ☐ Personnel  
☐ Management Company  
☐ Management Company Controlling Interest  
☐ Property Owner  
☐ Transfer or assignment of less than 51% ownership shares, membership, or controlling interest of the licensee

**B. LICENSURE FEES**

| ACTION                                                                                                                                                                               | FEE                                                                                                                                       | TOTAL FEES |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|------------|
| License Fee (Initial, Renewal and Change of Ownership):<br><input type="checkbox"/> License Fee Exemption = \$ 0.00<br>(County or Municipal Government pursuant to 400.062(4), F.S.) | \$112.50 per bed x _____ number of beds =<br>(Exception – any facility with sheltered beds pays \$100.50 per bed x _____ number of beds). | \$         |
| Biennial Assessment Fee                                                                                                                                                              | \$4.00 per bed x _____ number of beds=<br>(Note: Biennial fee is not to exceed \$1,000)                                                   | \$         |
| Change During Licensure Period                                                                                                                                                       | \$25.00                                                                                                                                   | \$         |
| Other: _____                                                                                                                                                                         |                                                                                                                                           | \$         |
| <b>TOTAL FEES INCLUDED WITH APPLICATION:</b>                                                                                                                                         |                                                                                                                                           | <b>\$</b>  |
| <b>Please make check or money order payable to the Agency for Health Care Administration (AHCA)</b>                                                                                  |                                                                                                                                           |            |

**3. Controlling Interests of Licensee****AUTHORITY:**

Pursuant to sections 408.806(1)(a) and (b), F.S., an application for licensure must include: the name, address and social security number of the applicant and each controlling interest, if the applicant or controlling interest is an individual; and the name, address, and federal employer identification number (EIN) of the applicant and each controlling interest, if the applicant or controlling interest is not an individual. Disclosure of social security number(s) is mandatory. The Agency for Health Care Administration shall use such information for purposes of securing the proper identification of persons listed on this application for licensure. However, in an effort to protect all personal information, **do not include social security numbers on this form. All social security numbers must be entered on the Health Care Licensing Application Addendum, AHCA Form 3110-1024.**

**DEFINITIONS:**

**Controlling interests**, as defined in section 408.803(7), F.S., are the applicant or licensee; a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the applicant or licensee; or a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the management company or other entity, related or unrelated, with which the applicant or licensee contracts to manage the provider. The term does not include a voluntary board member.

**Note:** For each controlling interest an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008 if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit [Background Screening | Florida Agency for Health Care Administration](https://ahca.myflorida.com/applications).

**INSTRUCTIONS: Attach additional application pages if needed.**

For new individual – complete all fields except the End Date.

For existing individuals – complete all fields except the Effective and End Date.

To remove an individual – complete all fields including the End Date.

**A. Individual and/or Entity Ownership of Licensee as listed in Section 1D above** – Provide the information for each individual or entity (corporation, partnership, association) with 5% or greater ownership interest in the licensee. Attach additional sheets if necessary. This excludes Not-for-Profit and publicly held licensees. **Note:** A written explanation will be required if the percentage of ownership interest indicated below does not equal 100%.

| FULL NAME of INDIVIDUAL or ENTITY | PERSONAL/PRIMARY ADDRESS | TELEPHONE NUMBER | EIN (No SSN) | % OWNERSHIP | EFFECTIVE DATE | END DATE |
|-----------------------------------|--------------------------|------------------|--------------|-------------|----------------|----------|
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |

**B. Board Members and Officers of Licensee as listed in Section 1D above** – Provide the information for each individual that serves as an officer or is on the board of directors. Do not include voluntary board members.

| TITLE                | FULL NAME | PERSONAL/PRIMARY ADDRESS | TELEPHONE NUMBER | EFFECTIVE DATE | END DATE |
|----------------------|-----------|--------------------------|------------------|----------------|----------|
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |

#### 4. Management Company

Does a company other than the licensee manage the licensed provider?

If ☐ NO, skip to Section 6 – Personnel.

If ☐ YES, please provide the following information:

|                                                           |  |               |               |                          |     |
|-----------------------------------------------------------|--|---------------|---------------|--------------------------|-----|
| Name of Management Company                                |  | EIN (No SSN)  |               | Telephone Number / Fax   |     |
| Street Address                                            |  |               | Email Address |                          |     |
| City                                                      |  | County        |               | State                    | Zip |
| Mailing Address or <input type="checkbox"/> Same as above |  |               |               |                          |     |
| City                                                      |  |               |               | State                    | Zip |
| Contact Person                                            |  | Contact Email |               | Contact Telephone Number |     |

#### 5. Management Company Controlling Interests

##### DEFINITION:

**Controlling interests**, as defined in section 408.803(7), F.S., are the applicant or licensee; a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the applicant or licensee; or a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the management company or other entity, related or unrelated, with which the applicant or licensee contracts to manage the provider. The term does not include a voluntary board member.

**Note:** For each controlling interest an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008 if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit [Background Screening | Florida Agency for Health Care Administration](https://ahca.myflorida.com/applications).

##### INSTRUCTIONS: Attach additional application pages if needed.

For new individual – complete all fields except the End Date.

For existing individuals – complete all fields except the Effective and End Date.

To remove an individual – complete all fields including the End Date.

**A. Individual and/or Entity Ownership of Management Company:** Provide the information for each individual with 5% or greater ownership interest in the management company. Attach additional sheets if necessary.

| FULL NAME of INDIVIDUAL or ENTITY | PERSONAL/PRIMARY ADDRESS | TELEPHONE NUMBER | EIN (No SSN) | % OWNERSHIP | EFFECTIVE DATE | END DATE |
|-----------------------------------|--------------------------|------------------|--------------|-------------|----------------|----------|
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |
|                                   |                          |                  |              |             |                |          |

- B. Board Members and Officers of Management Company:** Provide the information for each individual that serves as an officer or is on the board of directors. Do not include voluntary board members.

| TITLE                | FULL NAME | PERSONAL/PRIMARY ADDRESS | TELEPHONE NUMBER | EFFECTIVE DATE | END DATE |
|----------------------|-----------|--------------------------|------------------|----------------|----------|
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |
| Board Member/Officer |           |                          |                  |                |          |

## 6. Personnel

- A. Please provide information for the individual(s) who perform the following roles. Note:** For the administrator, financial officer and medical director an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008, if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit [Background Screening | Florida Agency for Health Care Administration](https://ahca.myflorida.com/applications).

**B. INSTRUCTIONS: Attach additional application pages if needed.**

For new individual – complete all fields except the End Date.

For existing individuals – complete all fields except the Effective and End Date.

To remove an individual – complete all fields including the End Date.

| INFORMATION              | ADMINISTRATOR/MANAGING EMPLOYEE | FINANCIAL OFFICER / PERSON RESPONSIBLE FOR FINANCIAL OPERATIONS | MEDICAL DIRECTOR/ CONSULTANT |
|--------------------------|---------------------------------|-----------------------------------------------------------------|------------------------------|
| Full Name                |                                 |                                                                 |                              |
| Effective Date           |                                 |                                                                 |                              |
| End Date                 |                                 |                                                                 |                              |
| Telephone Number         |                                 |                                                                 |                              |
| Email Address            |                                 |                                                                 |                              |
| Personal/Primary Address |                                 |                                                                 |                              |
|                          |                                 |                                                                 | FL License #                 |

- C. Safety Liaison –** Provide the requested information for the individual who will serve as primary contact during emergency operations pursuant to section 408.821, F.S.

| INFORMATION              | SAFETY LIAISON |
|--------------------------|----------------|
| Full Name                |                |
| Effective Date           |                |
| End Date                 |                |
| Personal/Primary Address |                |
| Telephone Number         |                |
| Email Address            |                |

## 7. Required Disclosure

### The following disclosures are required:

- A. Pursuant to section 408.809, F.S., the applicant shall submit to the agency a description and explanation of any convictions of offenses prohibited by sections 435.04 and 408.809(4), F.S., for each controlling interest.

Has the applicant or any individual listed in Sections 3 and 4 of this application been convicted of any level 2 offense pursuant to section 408.809, F.S.? YES ☐ NO ☐

If YES, provide the following information:

- ☐ The full legal name of the individual and the position held  
☐ A description/explanation of any convictions

- B. Pursuant to section 408.810(2), F.S., the applicant must provide a description and explanation of any exclusions, suspensions, or terminations from the Medicare, Medicaid, or federal Clinical Laboratory Improvement Amendment (CLIA) programs.

Has the applicant or any individual listed in Sections 3 and 4 of this application been excluded, suspended, terminated or involuntarily withdrawn from participation in Medicare or Medicaid in any state? YES ☐ NO ☐

If YES, enclose the following information:

- ☐ The full legal name of the individual (and the position held) or the entity  
☐ A description/explanation of the exclusion, suspension, termination or involuntary withdrawal.

- C. Pursuant to section 408.815(4), F.S., has the applicant or a controlling interest in the applicant, or any entity in which a controlling interest of the applicant was an owner or officer when the following actions occurred ever been:

Convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony under chapter 409, chapter 817, chapter 893, 21 U.S.C. ss. 801-970, or 42 U.S.C. ss. 1395-1396, Medicaid fraud, Medicare fraud, or insurance fraud, within the previous 15 years prior to the date of this application? YES ☐ NO ☐

Terminated for cause from the Medicare program or a state Medicaid program? YES ☐ NO ☐

If YES, has applicant been in good standing with the Medicare program or a state Medicaid program for the most recent five (5) years and the termination occurred at least twenty (20) years before the date of the application. YES ☐ NO ☐

- D. Pursuant to section 400.111, F.S.:

- ☐ There are no health care or resident care entities in which the applicant, controlling interest, management company and administrator of the facility have had financial or ownership interest in the past five (5) years that meets the disclosure requirements as described in section 400.111, F.S.
- ☐ Yes, there are health care or resident care entities in which the applicant, controlling interest, management company and/or administrator of the facility have had financial or ownership interest in the past five years that meets the disclosure requirements as described in section 400.111, F.S. Please complete the following for each individual as required. Attach additional sheets as necessary.

|                                                                                               |              |                           |  |
|-----------------------------------------------------------------------------------------------|--------------|---------------------------|--|
| Name of Individual:                                                                           |              | Relationship to Facility: |  |
| Entity:                                                                                       | Entity Type: | Date:                     |  |
| Type of Action:                                                                               |              |                           |  |
| Address (Street, City, State, Zip):                                                           |              | EIN (No SSNs):            |  |
| Reason for above action:                                                                      |              |                           |  |
| List other adverse action by a regulatory agency including the date:                          |              |                           |  |
| If no longer have financial/ownership interest in the entity, indicate last date of interest: |              |                           |  |
| Name of Individual:                                                                           |              | Relationship to Facility: |  |
| Entity:                                                                                       | Entity Type: | Date:                     |  |
| Type of Action:                                                                               |              |                           |  |
| Address (Street, City, State, Zip):                                                           |              | EIN (No SSNs):            |  |
| Reason for above action:                                                                      |              |                           |  |

List other adverse action by a regulatory agency including the date:

If no longer have financial/ownership interest in the entity, indicate last date of interest:

E. Pursuant to section 400.071(1)(e), F.S., have any civil verdict or judgment involving the applicant been rendered within the ten (10) years preceding the application, relating to medical negligence, violation of residents' rights, or wrongful death?

NO ☐ YES ☐ -

If YES, please give counts:

- ☐ Medical Negligence
- ☐ Violation of Resident's Rights
- ☐ Wrongful Death

☐ Pursuant to section 400.071(1), F.S., I agree to provide to the Agency copies of any new verdict or judgment relating to such matters within thirty (30) days of filing with the clerk of the court.

## 8. Provider Fines and Financial Information

Pursuant to section 408.831(1)(a), F.S., the Agency may take action against the applicant, licensee, or a licensee which shares a common controlling interest with the applicant if they have failed to pay all outstanding fines, liens, or overpayments assessed by final order of the Agency or final order of the Centers for Medicare and Medicaid Services (CMS), not subject to further appeal, unless a repayment plan is approved by the Agency.

Are there any incidences of outstanding fines, liens or overpayments as described above? YES ☐ NO ☐

If YES, please complete the following for each incidence (attach additional sheets if necessary):

| AHCA CASE NUMBER | CMS                      | ASSESSED AMOUNT | DATE OF RELATED INSPECTION, APPLICATION, OR OVERPAYMENT | PAYMENT DUE DATE | PENDING APPEAL OF FINAL ORDER |                          |
|------------------|--------------------------|-----------------|---------------------------------------------------------|------------------|-------------------------------|--------------------------|
|                  |                          |                 |                                                         |                  | YES                           | NO                       |
|                  | <input type="checkbox"/> |                 |                                                         |                  | <input type="checkbox"/>      | <input type="checkbox"/> |
|                  | <input type="checkbox"/> |                 |                                                         |                  | <input type="checkbox"/>      | <input type="checkbox"/> |
|                  | <input type="checkbox"/> |                 |                                                         |                  | <input type="checkbox"/>      | <input type="checkbox"/> |

Please attach a copy of the approved repayment plan if applicable.

## 9. Federal Certification

Does the provider participate in or intend to participate in the?

Medicaid program? YES ☐ NO ☐

Medicare program? YES ☐ NO ☐

If you plan to participate in Medicaid:

Visit the Agency's website at: <https://ahca.myflorida.com/medicaid> in order to obtain information and an application for enrollment in Medicaid.

If you plan to participate in Medicare:

The Medicare Provider Application (CMS Form 855) is available from the fiscal intermediary or on the Center for Medicare and Medicaid Services (CMS) website at: <https://www.cms.gov/medicare/forms-notices/cms-forms?redirect=/cmsforms>. The form must be sent directly to the chosen fiscal intermediary for review.

## 10. Number of Beds

Information below should reflect the number and description of beds requested in this application.

Is this a request to add beds? YES ☐ NO ☐ If YES, please indicate the total number of new beds: \_\_\_\_\_

Bed Types

Certified Beds

|                                       |  |
|---------------------------------------|--|
| Community Beds                        |  |
| Sheltered Beds                        |  |
| <b>Must equal total licensed beds</b> |  |

|                                                         |  |
|---------------------------------------------------------|--|
| Total Medicare only Beds                                |  |
| Total Medicaid only Beds                                |  |
| Total Dually Certified ( <i>Medicaid and Medicare</i> ) |  |
| Total Private Pay                                       |  |
| <b>Total Certified Beds</b>                             |  |

#### Other Bed Types

|                   |  |
|-------------------|--|
| Pediatric Beds    |  |
| Hospice Beds      |  |
| Inactive Beds     |  |
| <b>Total Beds</b> |  |

#### Room Type

|                                                                                        |       |
|----------------------------------------------------------------------------------------|-------|
| Private Rooms                                                                          | x 1 = |
| 2-Bed Rooms                                                                            | x 2 = |
| 3-Bed Rooms                                                                            | x 3 = |
| 4-Bed Rooms                                                                            | x 4 = |
| Other Rooms Bed Total                                                                  | =     |
| <b>Total</b>                                                                           |       |
| <b>The total number of room-type beds must match the total number of licensed beds</b> |       |

Do you offer continuing care agreements as defined in Chapter 651, F.S.?

YES ☐ NO ☐

**If yes, attach Certificate of Authority issued by the Department of Financial Services.**

Do you operate a Geriatric Outpatient Clinic as defined in section 59A-4.150, F.A.C.?

YES ☐ NO ☐

**If yes, please provide effective date:** \_\_\_\_\_

Do you provide Adult Day Care Services as defined in section 429.901(1), F.S.?

YES ☐ NO ☐

**If yes, please provide effective date:** \_\_\_\_\_

Do you plan to participate in alternate bed placement pursuant to section 400.23(2)(a), F.S.?

YES ☐ NO ☐

**If yes, please provide effective date:** \_\_\_\_\_

Do you plan to utilize licensed nurses to perform both licensed nurse and certified nursing assistant duties during the same shift as defined in section. 400.23(3)(a)4, F.S.?

YES ☐ NO ☐

**If yes, please provide effective date:** \_\_\_\_\_

Do you provide Respite Care Services as defined in section 400.172, F.S.?

YES ☐ NO ☐

**If yes, please provide effective date:** \_\_\_\_\_

## 11. Consumer Information

The following information will be made available to consumers through the Nursing Home Guide. You may access the Nursing Home Guide at: <https://quality.healthfinder.fl.gov/compare-tools/nursing-home-guide>.

|                                                                                  |                                                     |                                    |                                       |
|----------------------------------------------------------------------------------|-----------------------------------------------------|------------------------------------|---------------------------------------|
| <b>DAILY RATE:</b>                                                               |                                                     |                                    |                                       |
| Current Daily Rate (\$): \$ _____                                                |                                                     |                                    |                                       |
| Most Recent Available Occupancy Level: _____ (Total # of beds that are occupied) |                                                     |                                    |                                       |
| <b>PAYMENT FORMS ACCEPTED:</b>                                                   |                                                     |                                    |                                       |
| <input type="checkbox"/> Medicare                                                | <input type="checkbox"/> Medicaid                   |                                    |                                       |
| <input type="checkbox"/> Insurance and/or HMO                                    | <input type="checkbox"/> VA                         |                                    |                                       |
| <input type="checkbox"/> CHAMPUS                                                 | <input type="checkbox"/> Workers Compensation       |                                    |                                       |
| <b>RELIGIOUS AFFILIATION (IF ANY):</b>                                           |                                                     |                                    |                                       |
| <input type="checkbox"/> Adventist                                               | <input type="checkbox"/> Christian Non-Denomination | <input type="checkbox"/> Lutheran  | <input type="checkbox"/> Other: _____ |
| <input type="checkbox"/> Baptist                                                 | <input type="checkbox"/> Christian Science          | <input type="checkbox"/> Methodist |                                       |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                        |                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------|
| <input type="checkbox"/> Buddhist                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Hindu                                                                                                                                                                                                      | <input type="checkbox"/> Muslim                                                                                                                                                                                                                       |                                        |                                      |
| <input type="checkbox"/> Catholic                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Jewish                                                                                                                                                                                                     | <input type="checkbox"/> Presbyterian                                                                                                                                                                                                                 |                                        |                                      |
| <b>LANGUAGES SPOKEN BY ADMINISTRATOR AND STAFF:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                        |                                      |
| <input type="checkbox"/> Arabic                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Farsi                                                                                                                                                                                                      | <input type="checkbox"/> Hebrew                                                                                                                                                                                                                       | <input type="checkbox"/> Polish        | <input type="checkbox"/> Spanish     |
| <input type="checkbox"/> Chinese                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Filipino                                                                                                                                                                                                   | <input type="checkbox"/> Hindi                                                                                                                                                                                                                        | <input type="checkbox"/> Portuguese    | <input type="checkbox"/> Vietnamese  |
| <input type="checkbox"/> Creole                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> French                                                                                                                                                                                                     | <input type="checkbox"/> Italian                                                                                                                                                                                                                      | <input type="checkbox"/> Russian       | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> English                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> German                                                                                                                                                                                                     | <input type="checkbox"/> Korean                                                                                                                                                                                                                       | <input type="checkbox"/> Sign Language |                                      |
| <b>SPECIAL SERVICES</b> - A checked box indicates that the service is provided at this facility and staff meet the necessary requirements, if any, such as:<br><b>Alzheimer's:</b> If special accommodations are made for residents with Alzheimer's or dementia, such accommodations include separate living areas <u>and</u> the facility has staff trained in the care of patients with Alzheimer's or dementia.<br><b>Ventilator Dependent:</b> Accept residents that are ventilator dependent and have staff properly trained to care for them.<br><b>Pet Therapy:</b> Pets are a regular part of therapy.<br><b>Pediatric Care:</b> Accept residents under the age of 18 years <u>and</u> the nursing staff has been properly trained to care for pediatric residents. |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                        |                                      |
| <input type="checkbox"/> 24Hr Onsite RN Coverage<br><input type="checkbox"/> Adult Day Care<br><input type="checkbox"/> Alzheimer's<br><input type="checkbox"/> Secured Unit<br><input type="checkbox"/> Dialysis<br><input type="checkbox"/> Eden Alternative                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> HIV Care<br><input type="checkbox"/> Hospice<br><input type="checkbox"/> Pediatric<br><input type="checkbox"/> Pet Therapy<br><input type="checkbox"/> Respite<br><input type="checkbox"/> Therapeutic Spa | <input type="checkbox"/> Tracheotomy<br><input type="checkbox"/> Ventilator Dependent<br><input type="checkbox"/> Water Therapy<br><input type="checkbox"/> Weight Training<br><input type="checkbox"/> Yoga<br><input type="checkbox"/> Other: _____ |                                        |                                      |
| <b>TYPE OF CARE</b> - A checked box indicates that the type of care is provided at this facility and staff meet the necessary requirements, if any, such as:<br><br><b>Joint Commission on Accreditation of Healthcare Organizations (JCAHO):</b> If accredited, without recommendations for improvement (i.e. accredited or accredited with commendation) for each of the three areas.                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                        |                                      |
| <input type="checkbox"/> Joint Commission accredited Sub-Acute Program<br><br><input type="checkbox"/> Joint Commission accredited Dementia Special Care Unit<br><br><input type="checkbox"/> Joint accredited Long Term Care Program                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                        |                                      |

## 12. Supporting Documents

Applicants must include the following attachments as stated in Chapters 408, Part II and 400, Part II, F.S. Chapters 59A-35 and 59A-4, F.A.C. **Note: Required documents listed below are dependent on the type of application submitted. (Initial, Renewal, Change of Ownership, Change During Licensure Period)**

| DOCUMENTS TO BE PROVIDED                                                                                                | REQUIRED FOR                                                                                              |
|-------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Patient Trust Surety Bond                                                                                               | Initial, Renewal and Change of Ownership application types                                                |
| General and Professional Liability Insurance                                                                            | Initial, Renewal, Change of Ownership and Capacity Increase application types                             |
| Documentation from the appropriate local government office showing that the applicant has met local zoning requirements | Initial, Change of Ownership and Bed Capacity Increase application types                                  |
| Surety or Continuation Bond                                                                                             | All application types that check YES on Section 8A                                                        |
| Proof of Property Occupancy, Examples: Lease, Mortgage, and Transfer Agreement.                                         | Initial, Renewal, Change of Ownership and Request to Change Name or Address of Provider application types |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| Medicaid Lease Bond, if applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | All application types                                                                                    |
| Fire Safety Inspection Report                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Initial and Change of Ownership application types                                                        |
| Copies of any civil verdict or judgment involving the applicant within the ten years preceding the application relating to medical negligence, violation of resident's rights, or wrongful death                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Initial Renewals and Change of Ownership application types                                               |
| Plan for quality assurance and for conducting risk management                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Initial and Change of Ownership application types                                                        |
| Bed change request form for beds certified through the Centers for Medicare and Medicaid Services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Change during licensure period - Capacity Change application type                                        |
| Financial Ability to Operate, AHCA Form 3100-0009                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Initial and Change of Ownership application types                                                        |
| Copy of Comprehensive Emergency Management Plan (CEMP) Approval Letter or Documentation of the CEMP submission for review within the last 365 days                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Renewal application type                                                                                 |
| Visitation Policy and Procedure                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Initial, Renewal, and Change of Ownership application types                                              |
| Change of ownership closing documents signed and dated by all parties                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Change of Ownership application type                                                                     |
| Health Care Licensing Application Addendum, AHCA Form 3110-1024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Initial, Renewal, Change of Ownership and Change of Personnel and Controlling Interest application types |
| Required disclosures related to actions taken by Medicare, Medicaid or CLIA, if applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | All application types, if documentation is required due to responses provided in application             |
| Approved repayment plan, if applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | All application types                                                                                    |
| Letter that includes: <ul style="list-style-type: none"> <li>The reason the facility will become inactive</li> <li>The total number of inactive beds and the date the beds will become inactive</li> <li>Submit bed change request forms for beds certified through the Centers for Medicare and Medicaid Services</li> <li>For partial inactive licenses describe the intended use (alternative service) for the inactive portion and include a schematic drawing identifying the inactive area</li> <li>For a full facility inactive license provide a plan for resuming services and the date by which services are expected to resume</li> </ul> | Inactive license (partial and full) application type                                                     |
| Letter that includes: <ul style="list-style-type: none"> <li>The date the facility anticipates becoming active.</li> <li>The total number of beds that will be reactivated</li> <li>Submit bed change request forms for beds certified through the Centers for Medicare and Medicaid Services</li> <li>For partial inactive licenses that utilized the space for a licensed alternative service, return the license issued for the alternative service</li> </ul>                                                                                                                                                                                    | Reactivate an inactive license (partial and full) application type                                       |

## 13. Attestation

I, \_\_\_\_\_, attest as follows:

- (1) Pursuant to section 837.06, Florida Statutes, I have not knowingly made a false statement with the intent to mislead the Agency in the performance of its official duty.
- (2) Pursuant to section 408.815, Florida Statutes, I acknowledge that false representation of a material fact in the license application or omission of any material fact from the license application by a controlling interest may be used by the Agency for denying and revoking a license or change of ownership application.
- (3) Pursuant to section 408.806, Florida Statutes, under penalty of perjury, the applicant is in compliance with the provisions of section 408.806 and Chapter 435, Florida Statutes.
- (4) Pursuant to sections 408.809 and 435.05, Florida Statutes, every employee of the applicant required to be screened has attested, subject to penalty of perjury, to meeting the requirements for qualifying for employment pursuant to Chapter 408, Part II, and Chapter

435, Florida Statutes, and has agreed to inform the employer immediately if arrested for any of the disqualifying offenses while employed by the employer.

(5) Pursuant to section 435.05, Florida Statutes, the applicant has conducted a level 2 background screening through the Agency on every employee required to be screened under Chapter 408, Part II, or Chapter 435, Florida Statutes, as a condition of employment and continued employment and that every such employee has satisfied the level 2 background screening standards or obtained an exemption from disqualification from employment.

(6) Pursuant to section 408.810(12), Florida Statutes, the licensee ensures that no person holds any ownership interests, either directly or indirectly, regardless of ownership structure; who has a disqualifying offense pursuant to section 408.809, Florida Statutes or in a provider that had a license revoked or application denied pursuant to section 408.815, Florida Statutes.

(7) Pursuant to sections 408.810(14) and 408.051(3), FS, the licensee ensures that all patient information stored in an offsite physical or virtual environment, including through a third-party or subcontracted computing facility or an entity providing cloud computing services, is physically maintained in the continental United States or its territories or Canada.

(8) Pursuant to section 408.810(15), FS, the licensee ensures that controlling interests of the licensee do not hold, either directly or indirectly, regardless of ownership structure, an interest in an entity that has a business relationship with a foreign country of concern or that is subject to section 287.135, FS.

\_\_\_\_\_  
Signature of Licensee or Authorized Representative

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**NOTICE:** If you are a **Medicaid** provider, you may have a separate obligation to notify the Medicaid program of a name/address change, change of ownership or other change of information. Please refer to your Medicaid handbooks for additional information about Medicaid program policy regarding changes to provider enrollment information.

**RETURN THIS COMPLETED FORM WITH FEES TO:**

AGENCY FOR HEALTH CARE ADMINISTRATION  
LONG TERM CARE SERVICES UNIT  
2727 MAHAN DR., MS 33  
TALLAHASSEE FL 32308-5407

**Questions?** Visit the Agency's website : <https://ahca.myflorida.com/> or contact the Long Term Care Services Unit at (850) 412-4303 or Email: [LTCStaff@ahca.myflorida.com](mailto:LTCStaff@ahca.myflorida.com)

***The Agency for Health Care Administration scans all documents for electronic storage. In an effort to facilitate this process, we ask that you please remember to:***

- Please place checks or money orders on top of the application
- Include license number or case number on your check
- Do not submit carbon copies of documents
- Do not fold any of the documents being submitted
- No staples, paperclips, binder clips, folders, or notebooks
- Please **do not bind any** of the documents submitted to the Agency.