Office of Financial Regulation
Division of Consumer Finance
Form OFR-494-14, Disciplinary Guidelines for Mortgage Loan Originators and Mortgage Entities
Incorporated by Reference in Rule 69V-40.111, F.A.C., Effective: XX/XXXX

Violation #	Statute	1st Offense	2nd Offense	3rd Offense	4th and Subsequent Offenses
1	494.0016(1)-(3)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
2	494.00165(1)(a)-(e), (2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
3	494.0023(1)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
4	494.0025(4)-(10)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
5	494.00255(1)(a)-(d)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
6	494.00255(1)(e)-(i)	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation	Fine/Suspension = D Revocation
7	494.00255(1)(j)	Revocation	Revocation	Revocation	Revocation
8	494.00255(1)(k)	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation	Fine/Suspension = D Revocation
9	494.00255(1)(l)1.-2., (m)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
10	494.00255(1)(n)	Revocation	Revocation	Revocation	Revocation
11	494.00255(1)(o)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
12	494.00255(1)(p)-(q)	$250 per day. The maximum fine shall not exceed $25,000.	$500 per day. The maximum fine shall not exceed $25,000.	$750 per day. The maximum fine shall not exceed $25,000.	$1000 per day. The maximum fine shall not exceed $25,000.
13	494.00255(1)(r)-(s)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
14	494.00255(1)(t)	Revocation	Revocation	Revocation	Revocation
15	494.00255(1)(u)-(v)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
16	494.00255(1)(w)-(x)	Revocation	Revocation	Revocation	Revocation
17	494.00255(1)(y)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation

Fine A = Up to $2,500; Fine B = $2,501 to $5,000; Fine C = $5,001 to $7,500, Fine D = $7,501 – 10,000
Suspension A = Up to 5 days; Suspension B = 6 to 10 days; Suspension C = 11 to 20 days; Suspension D = More than 20 days
Statutory Authority: Section 494.00255, Florida Statutes

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18	494.0026(1)-(4)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
19	494.00296(1)(a)-(c), (2)(a)-(c) & (e)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
20	494.00331(1)&(3)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
21	494.0035(1)-(2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
22	494.0038(1)-(2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
23	494.004(1)(a)-(f), (2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
24	494.0042(2)(a)-(d),(3)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
25	494.0043(1)(a)-(d),(2)-(4)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
26	494.0063	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
27	494.00665(1)-(2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
28	494.0067(1)-(10)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
29	494.0069(1)-(4)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
30	494.007(1)-(3)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
31	494.00721(1)-(2)	Fine/Suspension = A Revocation	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation
32	494.0075(1)-(5)	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation	Fine/Suspension = D Revocation
33	494.0076(1)-(2)	Fine/Suspension = B Revocation	Fine/Suspension = C Revocation	Fine/Suspension = D Revocation	Fine/Suspension = D Revocation

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