

AHCA USE ONLY:

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Health Care Licensing Application

Birth Center

The Agency for Health Care Administration (AHCA) has implemented the **ONLINE LICENSING SYSTEM**, which allows the electronic submission of renewal and change during licensure period applications and fees, along with the ability to upload supporting documentation. <u>To submit online please go to:</u> <u>https://ahca.myflorida.com/health-care-policy-and-oversight/online-licensure-information/online-licensing-system</u>

Applications must be received **at least 60 days prior to** the expiration of the current license or effective date of a change of ownership to avoid a late fee. If the renewal application is received by the Agency less than 60 days prior to the expiration date, it is subject to a late fee as set forth in statute. The applicant will receive notice of the amount of the late fee as part of the application process or by separate notice. The application will be withdrawn from review if all the required documents and fees are not included with the application or received within 21 days of an omission notice. Applications will not be considered for review until payment has been received. Renewal and Change During Licensure Period applications: Supporting documentation, responses to omissions and payments may be submitted using the online system even if the application was originally mailed to the Agency.

Please fill in all blanks or mark N/A if not applicable.

Under the authority of Chapters 408, Part II and 383, Florida Statutes (F.S.), and Chapters 59A-35 and 59A-11, Florida Administrative Code (F.A.C.), an application is hereby made to operate a birth center as indicated below:

1. Provider / Licensee Information

A. PROVIDER INFORMATION – Please complete the for and telephone number will be listed on <u>https://quality.health</u>				me and locatio	on. Provider name, address
License number (if applicable) National Provider Identifier (f				entifier (NPI) ((if applicable)
Name of Birth Center (if operated under a fictitious name, enter	as it filed	with the Fl	orida Division	of Corporations	3)
Street Address					
City	(County		State	Zip
Telephone Number	Fax N	umber			
E-mail Address	·		-		r e-mail address, you agree to ndence from the Agency.
Provider Website					
Mailing Address or Same as above					
City	(County		State	Zip
Telephone Number	E-mail	Address			
B. PROPERTY OWNER INFORMATION – Complete the		•			
Does an individual or entity other than the licensee own the	e propert	y where th	e principal o	office is locate	d?
If INO, skip to Section 1.C. Contact Person If IYES, please provide the following information:					

Full Name of Property Owner	Telephone Number
Primary Address	Effective Date

C. CONTACT PERSON - For this application	
Contact Person for this application	Contact Telephone Number
Contact e-mail address or Do not have e-mail	Note: By providing your e-mail address you agree to accept e-mail correspondence from the Agency.

D. LICENSEE INFORMATION – Please complete the following for the entity seeking to operate the birth center.						
Licensee Name (This is the owner of the birth center)			Federal Employer Identification Number (EIN)			
Mailing Address or 🗌 Same as ab	oove					
City		State		Zip		
Telephone Number	Fax Number	E-mail	Address			
Description of Licensee (check one	e):					
For Profit Corporation Limited Liability Compa Partnership Individual Sole Proprietor Other		oration ious Affiliation		Public State City/County Hospital District		

2. Application Type and Fees

Indicate the type of application with an "X." Applications will not be processed if all applicable fees are not included. Pursuant to section 408.805(4), F.S., fees are nonrefundable. Renewal and Change of Ownership applications must be received 60 days prior to the expiration of the license or the proposed effective date of the change to avoid a late fee. If the renewal application is received by the Agency less than 60 days prior to the expiration date, it is subject to a late fee as set forth in statute. The applicant will receive notice of the amount of the late fee as part of the application process or by separate notice.

A. TYPE OF APPLICATION

Initial licensure		Proposed Effectiv	e Date:
Was this entity previously licensed as a birth center?	YES 🗌	NO 🗌	
If YES, please provide the name of the birth center (if diff	ferent), the EIN	# and the date the p	prior license expired or closed:

NAME:	EIN #	Date Expired/Closed:	
Renewal licensure			
 Change of Ownership Licensee sale or transfer of ownership to a different indiv 	Proposed Effective	Date:	
Transfer or assignment of 51% or more ownership, share	s, membership, or controlling	g interest of the licensee	
□ Change during licensure period – select all that apply:	Proposed Effective	Date:	
Fee Required No Fee Required			
Provider Name	Personnel		
Provider Address	Management Cor	npany	
	Management Cor	npany Controlling Interest	
	Hours of Operation	n	
		nment of less than 51% ownership, or controlling interest of the licensee	

B. LICENSURE FEES

ACTION	FEE	TOTAL FEES			
License Fee (Initial, Renewal and Change of Ownership)	\$392.80	\$			
Licensure & Life Safety Survey Fees (Initial only- \$250.00 each survey)	\$500.00	\$			
Biennial Assessment	\$300.00	\$			
Change During Licensure Period	\$25.00	\$			
TOTAL FEES INCLUDED WITH APPLICATION					
Please make check or money order payable to the Agency for Health Care Administration (AHCA)					

3. Controlling Interest

AUTHORITY:

Pursuant to sections 408.806(1)(a) and (b), F.S., an application for licensure must include: the name, address and social security number of the applicant and each controlling interest, if the applicant or controlling interest is an individual; and the name, address, and federal employer identification number (EIN) of the applicant and each controlling interest, if the applicant or controlling interest is not an individual. Disclosure of social security number(s) is mandatory. The Agency for Health Care Administration shall use such information for purposes of securing the proper identification of persons listed on this application for licensure. However, in an effort to protect all personal information, do not include social security numbers on this form. All social security numbers must be entered on the Health Care Licensing Application Addendum, AHCA Form 3110-1024.

DEFINITIONS:

Controlling interests, as defined in section 408.803(7), F.S., are the applicant or licensee; a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the applicant or licensee; or a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the management company or other entity, related or unrelated, with which the applicant or licensee contracts to manage the provider. The term does not include a voluntary board member.

Note: For each controlling interest an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008 if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit <u>Background Screening (myflorida.com)</u>.

A. Individual and/or Entity Ownership of Licensee as listed in Section 1D above – Provide the information for each individual or entity (corporation, partnership, association) with 5% or greater ownership interest in the licensee. Attach additional sheets, if necessary. This excludes Not-for-Profit and publicly held licensees. Note: A written explanation will be required if the percentage of ownership interest indicated below does not equal 100%.

INSTRUCTIONS: Attach additional application pages if needed.

For new individual – complete all fields except the End Date. For existing individuals – complete all fields except the Effective and End Date. To remove an individual – complete all fields including the End Date.

FULL NAME OF INDIVIDUAL OR ENTITY	PERSONAL/PRIMARY ADDRESS	TELEPHONE NUMBER	EIN (NO SSN)	% OWNERSHIP	EFFECTIVE DATE	END DATE

B. Board Members and Officers of Licensee as listed in Section 1D above – Provide the information for each individual that serves as an officer or is on the board of directors. Do not include voluntary board members.

TITLE	FULL NAME	PERSONAL/PRIMARY ADDRESS	TELEPHONE NUMBER	EFFECTIVE DATE	END DATE
Board					
Member/Officer					
Board					
Member/Officer					
Board					
Member/Officer					
Board Member/Officer Board					

4. Management Company

Does a company other than the licensee manage the licensed provider?

- If INO, skip to Section 6 Personnel
- If YES, provide the following information:

Name of Management Company	EIN (No SSN)		SSN) Telephone Number / Fax		r / Fax
Street Address	E-mail Address				
City		County		State	Zip
Mailing Address or Same as above					
City				State	Zip
Contact Person	Contact E-mail			Contact Telephone	e Number

5. Management Company Controlling Interests

DEFINITION:

Controlling interests, as defined in section 408.803(7), F.S., are the applicant or licensee; a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the applicant or licensee; or a person or entity that serves as an officer of, is on the board of directors of, or has a 5% or greater ownership interest in the management company or other entity, related or unrelated, with which the applicant or licensee contracts to manage the provider. The term does not include a voluntary board member.

Note: For each controlling interest an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008 if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit <u>Background Screening (myflorida.com)</u>

INSTRUCTIONS: Attach additional application pages if needed.

For new individual – complete all fields except the End Date.

For existing individuals – complete all fields except the Effective and End Date. To remove an individual – complete all fields including the End Date.

A. Individual and/or Entity Ownership of Management Company: Provide the information for each individual or entity (corporation, partnership, association) with 5% or greater ownership interest in the management company. Attach additional sheets, if necessary.

FULL NAME OF INDIVIDUAL OR ENTITY	PERSONAL/PRIMARY ADDRESS	TELEPHONE NUMBER	EIN (NO SSN)	% OWNERSHIP	EFECTIVE DATE	END DATE

B. Board Members and Officers of Management Company: Provide the information for each individual that serves as an officer or is on the board of directors. Do not include voluntary board members.

TITLE	FULL NAME	PERSONAL/PRIMARY ADDRESS	TELEPHONE NUMBER	EFFECTIVE DATE	END DATE
Board Member/Officer					

6. Personnel

Please provide information for the individual(s) who perform the following roles. Note: For the administrator and financial officer an AHCA screening through the Care Provider Background Screening Clearinghouse is needed or the Attestation of Compliance with Background Screening Requirements, AHCA Form 3100-0008, if background screening was conducted by the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S. To verify who is to be screened, visit Background Screening (myflorida.com).

INSTRUCTIONS: Attach additional application pages if needed.

For new individual – complete all fields except the End Date. For existing individuals – complete all fields except the Effective and End Date. To remove an individual – complete all fields including the End Date.

INFORMATION	ADMINISTRATOR/MANAGING EMPLOYEE	FINANCIAL OFFICER / PERSON RESPONSIBLE FOR FINANCIAL OPERATIONS
Full Name		
Effective Date		
End Date		
Telephone Number		
Email Address		
Personal/Primary Address		

7. Required Disclosure

The following disclosures are required:

A. Pursuant to section 408.809, F.S., the applicant shall submit to the Agency a description and explanation of any convictions of offenses prohibited by sections 435.04 and 408.809(4), F.S., for each controlling interest.

Has the applicant or any inc	lividual listed in Sections	3 and 4 of	this application been	convicted of any level	2 offense pursuant
to section 408.809, F.S.?	YES 🗌	NO 🗌			

If YES, provide the following information:

- The full legal name of the individual and the position held
- A description/explanation of any convictions

B. Pursuant to section 408.810(2), F.S., the applicant must provide a description and explanation of any exclusions, suspensions, or terminations from the Medicare, Medicaid, or federal Clinical Laboratory Improvement Amendment (CLIA) programs.

Has the applicant or any individual/entity listed in Sections 3 and 4 of this application	been excluded, su	spended, terminated or
involuntarily withdrawn from participation in Medicare or Medicaid in any state? YE		

If YES, enclose the following information:



The full legal name of the individual (and the position held) or the entity

A description/explanation of the exclusion, suspension, termination or involuntary withdrawal.

C. Pursuant to section 408.815(4), F.S., has the applicant or a controlling interest in the applicant, or any entity in which a controlling interest of the applicant was an owner or officer when the following actions occurred ever been:

Convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, a felony	under Chapter 409, Chapter
817, Chapter 893, 21 U.S.C. ss. 801-970, or 42 U.S.C. ss. 1395-1396, Medicaid fraud, Medicare fr	aud, or insurance fraud,
within the previous 15 years prior to the date of this application? YES NO	
Terminated for cause from the Medicare program or a state Medicaid program? YES	NO 🗌

If YES, has applicant been in good standing with the Medicare program or a state Medicaid program for the most recent five (5) years and the termination occurred at least twenty (20) years before the date of the application. YES NO

8. Provider Fines and Financial Information

Pursuant to section 408.831(1)(a), F.S., the Agency may take action against the applicant, licensee, or a licensee which shares a common controlling interest with the applicant if they have failed to pay all outstanding fines, liens, or overpayments assessed by final order of the agency or final order of the Centers for Medicare and Medicaid Services (CMS), not subject to further appeal, unless a repayment plan is approved by the agency.

Are there any incidences of outstanding fines, liens or overpayments as describe	d above?	YES 🗌
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If YES, please complete the following for each incidence (attach additional sheets, if necessary):

AHCA CASE NUMBER	E NUMBER CMS	ASSESSED AMOUNT	DATE OF RELATED INSPECTION,	PAYMENT DUE DATE	PENDING APPEAL OF FINAL ORDER	
Anca Case Number			APPLICATION, OR OVERPAYMENT		YES	NO

Please attach a copy of the approved repayment plan, if applicable.

9. General Information

Please provide the number of Birthing Rooms: ____

10. Accreditation

The applicant participates in accrediting organization selected below or
Not accredited:

ACCREDITING ORGANIZATION		ACCREDITATION ID	ACCRED	SURVEY END	
			EFFECTIVE DATE	END DATE	DATE
	Accreditation Association for Ambulatory Health Care (AAAHC)				
	Commission for the Accreditation of Birth Centers (CABC)				
	The Joint Commission (JC)				

NOTE: If accredited, provide a copy of the full accreditation survey, award letter and any follow up letters to or from the accrediting body. Please review Chapter 119, F.S. for additional information.

□ I understand that the complete accreditation report must be submitted to the Agency for review if the accreditation report is to be accepted in lieu of a complete licensure inspection and such reports used to meet licensure requirements are considered public documents subject to disclosure per Chapter 119, F.S. A complete accreditation report includes correspondence from the accrediting organization containing the dates of the survey, any citations to which the accreditation organization requires a response, the facility's response to each citation, the effective date of accreditation and verification of Medicare (CMS) deemed status, if applicable.

NO 🗌

11. Hours of Operation

List the regular operating hours. **Note:** Site inspections by surveyors will occur during the business hours submitted. Failure to be open during the listed hours may result in a fine.

DAY	OF THE WEEK	OPENING TIME	CLOSING TIME	BY APPOINTMENT
	Monday			
	Tuesday			
	Wednesday			
	Thursday			
	Friday			
	Saturday			
	Sunday			

12. Supporting Documentation

Applicants <u>must</u> include the following attachments as stated in Chapters 408, Part II and 383, F.S. and Chapters 59A-35 and 59A-11, F.A.C. Note: Required documents listed below are dependent on the type of application submitted. (Initial, Renewal, Change of Ownership, Change During Licensure Period)

DOCUMENTS TO BE PROVIDED	REQUIRED FOR
Accreditation report, if applicable	Initial, Renewal and Change of Ownership applications
Health Care Licensing Application Addendum, AHCA Form 3110-1024	Initial, Renewal, Change of Ownership, and Change of Personnel or of Controlling Interest applications
Right to Occupy (examples: Deed, current Lease, Mortgage, Transfer Agreement)	Initial, Change of Ownership, and Request to Change Name or Address of Provider application
Documentation from the appropriate local government office showing the applicant has met local zoning requirements	Initial, Renewal, Change of Ownership, Change of Provider Name or Address applications
Documentation of change of ownership transaction stating effective date and executed by all parties	Change of Ownership and Change of Controlling Interest applications
Required disclosures related to actions taken by Medicare, Medicaid or CLIA, if applicable	All application types, if documentation is required due to responses provided in application
Approved repayment plan, if applicable	All applications

13. Attestation

I, _____, attest as follows:

(1) Pursuant to section 837.06, Florida Statutes, I have not knowingly made a false statement with the intent to mislead the Agency in the performance of its official duty.

(2) Pursuant to section 408.815, Florida Statutes, I acknowledge that false representation of a material fact in the license application or omission of any material fact from the license application by a controlling interest may be used by the Agency for denying and revoking a license or change of ownership application.

(3) Pursuant to section 408.806, Florida Statutes, under penalty of perjury, the applicant is in compliance with the provisions of section 408.806 and Chapter 435, Florida Statutes.

(4) Pursuant to sections 408.809 and 435.05, Florida Statutes, every employee of the applicant required to be screened has attested, subject to penalty of perjury, to meeting the requirements for qualifying for employment pursuant to Chapter 408, Part II, and Chapter 435, Florida Statutes, and has agreed to inform the employer immediately if arrested for any of the disqualifying offenses while employed by the employer.

(5) Pursuant to section 435.05, Florida Statutes, the applicant has conducted a level 2 background screening through the Agency on every employee required to be screened under Chapter 408, Part II, or Chapter 435, Florida Statutes, as a condition of employment and continued employment and that every such employee has satisfied the level 2 background screening standards or obtained an exemption from disqualification from employment.

(6) Pursuant to section 408.810(12), Florida Statutes, the licensee ensures that no person holds any ownership interests, either directly or indirectly, regardless of ownership structure; who has a disqualifying offense pursuant to section 408.809, Florida Statutes or in a provider that had a license revoked or application denied pursuant to section 408.815, Florida Statutes.

(7) Pursuant to sections 408.810(14) and 408.051(3), FS, the licensee ensures that all patient information stored in an offsite physical or virtual environment, including through a third-party or subcontracted computing facility or an entity providing cloud computing services, is physically maintained in the continental United States or its territories or Canada.

(8) Pursuant to section 408.810(15), FS, the licensee ensures that controlling interests of the licensee do not hold, either directly or indirectly, regardless of ownership structure, an interest in an entity that has a business relationship with a foreign country of concern or that is subject to section 287.135, FS.

Signature of Licensee or Authorized Representative

Title

Date

NOTICE: If you are a **Medicaid** provider, you may have a separate obligation to notify the Medicaid program of a name/address change, change of ownership or other change of information. Please refer to your Medicaid handbooks for additional information about Medicaid program policy regarding changes to provider enrollment information.

RETURN THIS COMPLETED FORM WITH FEES TO:

AGENCY FOR HEALTH CARE ADMINISTRATION HOSPITAL AND OUTPATIENT SERVICES UNIT 2727 MAHAN DR., MS 31 TALLAHASSEE FL 32308-5407

Questions? Visit the Agency's website : <u>https://ahca.myflorida.com/</u> or contact the Hospital and Outpatient Services Unit at (850) 412-4549 or Email: <u>hospitals@ahca.myflorida.com</u>

The Agency for Health Care Administration scans all documents for electronic storage. In an effort to facilitate this process, we ask that you please remember to:

- Please place checks or money orders on top of the application
- Include license number or case number on your check
- Do not submit carbon copies of documents
- Do not fold any of the documents being submitted
- No staples, paperclips, binder clips, folders, or notebooks
- Please <u>do not bind any</u> of the documents submitted to the Agency.